

Proxy Voting Record

Meeting Date Range: 01-Jul-2013 To 30-Jun-2014

Selected Accounts

NOVARTIS AG, BASEL

Security:	H5820Q150	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	25-Feb-2014
ISIN	CH0012005267	Vote Deadline Date:	19-Feb-2014
Agenda	704953238	Management	Total Ballot Shares: 4441
Last Vote Date:	06-Feb-2014		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE	None	None		Non Voting		
2	Approval of the Annual Report, the Financial Statements of Novartis AG and the Group Consolidated Financial Statements for the Business Year 2013	For	None	4441	0	0	0
3	Discharge from Liability of the Members of the Board of Directors and the Executive Committee	For	None	4441	0	0	0
4	Appropriation of Available Earnings of Novartis AG and Declaration of Dividend: CHF 2.45 per share	For	None	4441	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
5	Advisory Vote on Total Compensation for Members of the Board of Directors from the Annual General Meeting 2014 to the Annual General Meeting 2015	For	None	4441	0	0	0
6	Advisory Vote on Total Compensation for Members of the Executive Committee for the Performance Cycle Ending in 2013	For	None	4441	0	0	0
7	Re-election of Joerg Reinhardt, Ph.D., and election as Chairman of the Board of Directors	For	None	4441	0	0	0
8	Re-election of Dimitri Azar, M.D., MBA	For	None	4441	0	0	0
9	Re-election of Verena A. Briner, M.D.	For	None	4441	0	0	0
10	Re-election of Srikant Datar, Ph.D.	For	None	4441	0	0	0
11	Re-election of Ann Fudge	For	None	4441	0	0	0
12	Re-election of Pierre Landolt, Ph.D.	For	None	4441	0	0	0
13	Re-election of Ulrich Lehner, Ph.D.	For	None	4441	0	0	0
14	Re-election of Andreas von Planta, Ph.D.	For	None	4441	0	0	0
15	Re-election of Charles L. Sawyers, M.D.	For	None	4441	0	0	0
16	Re-election of Enrico Vanni, Ph.D.	For	None	4441	0	0	0
17	Re-election of William T. Winters	For	None	4441	0	0	0
18	Election of Srikant Datar, Ph.D., as member of the Compensation Committee	For	None	4441	0	0	0
19	Election of Ann Fudge as member of the Compensation Committee	For	None	4441	0	0	0
20	Election of Ulrich Lehner, Ph.D., as member of the Compensation Committee	For	None	4441	0	0	0
21	Election of Enrico Vanni, Ph.D., as member of the Compensation Committee	For	None	4441	0	0	0
22	Re-election of the Auditor: PricewaterhouseCoopers AG	For	None	4441	0	0	0
23	Election of lic. iur. Peter Andreas Zahn, Advokat, Basel, as the Independent Proxy	For	None	4441	0	0	0
24	In the case of ad-hoc/Miscellaneous shareholder motions proposed during the general meeting, I authorize my proxy to act as follows in accordance with the board of directors	For	None	0	0	4441	0

Proxy Voting Record

SAMSUNG ELECTRONICS CO LTD, SUWON

Security:	796050888		Meeting Type:	Annual General Meeting
Ticker:			Meeting Date:	14-Mar-2014
ISIN	US7960508882		Vote Deadline Date:	06-Mar-2014
Agenda	704990010	Management	Total Ballot Shares:	359
Last Vote Date:	03-Mar-2014			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	To approve, Audited Financial Statements for FY 2013 (45th) Approval of Statements of Financial Position, Income, and Cash Flow. The total dividend per share in 2013 is KRW 14,300 for common and KRW 14,350 for preferred shares, including interim dividend of KRW 500 per share paid in August 2013	For	None	359	0	0	0
2	To approve, the Remuneration Limit for the Directors for FY 2014 (46th).As specified	For	None	0	359	0	0
3	11 MAR 2014: PLEASE NOTE THAT BY SENDING AN INSTRUCTION TO ABSTAIN FROM VOTING, YOU PROVIDE DISCRETIONARY PROXY TO THE BOARD OF DIRECTORS. THANK YOU.	None	None		Non Voting		
4	11 MAR 2014: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF ADDITIONAL COMMENT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT RETURN THIS PROXY FORM UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	None	None		Non Voting		

Proxy Voting Record

RIO TINTO PLC, LONDON

Security:	G75754104	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	15-Apr-2014
ISIN	GB0007188757	Vote Deadline Date:	01-Apr-2014
Agenda	705034483	Total Ballot Shares:	15980
	Management		
Last Vote Date:	28-Mar-2014		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Receipt of the 2013 annual report	For	None	4039	0	0	0
2	Approval of the remuneration Policy Report	For	None	4039	0	0	0
3	Approval of the directors' report on remuneration and remuneration committee chairman's letter	For	None	4039	0	0	0
4	Approval of the remuneration report	For	None	4039	0	0	0
5	Approval of potential termination benefits	For	None	4039	0	0	0
6	To elect Anne Lauvergeon as a director	For	None	4039	0	0	0
7	To elect Simon Thompson as a director	For	None	4039	0	0	0
8	To re-elect Robert Brown as a director	For	None	4039	0	0	0
9	To re-elect Jan du Plessis as a director	For	None	4039	0	0	0
10	To re-elect Michael Fitzpatrick as a director	For	None	4039	0	0	0
11	To re-elect Ann Godbehere as a director	For	None	4039	0	0	0
12	To re-elect Richard Goodmanson as a director	For	None	4039	0	0	0
13	To re-elect Lord Kerr as a director	For	None	4039	0	0	0
14	To re-elect Chris Lynch as a director	For	None	4039	0	0	0
15	To re-elect Paul Tellier as a director	For	None	4039	0	0	0
16	To re-elect John Varley as a director	For	None	4039	0	0	0
17	To re-elect Sam Walsh as a director	For	None	4039	0	0	0
18	Re-appointment of auditors: PricewaterhouseCoopers LLP	For	None	4039	0	0	0
19	Remuneration of auditors	For	None	4039	0	0	0
20	General authority to allot shares	For	None	4039	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
21	Disapplication of pre-emption rights	For	None	4039	0	0	0
22	Authority to purchase Rio Tinto plc shares	For	None	4039	0	0	0
23	Notice period for general meetings other than annual general meetings	For	None	0	4039	0	0
24	Scrip dividend authority	For	None	4039	0	0	0
25	PLEASE NOTE THAT RESOLUTIONS 1 TO 19 WILL BE VOTED ON BY RIO TINTO PLC AND RIO TINTO LIMITED SHAREHOLDERS AS A JOINT ELECTORATE AND RESOLUTIONS 20 TO 24 WILL BE VOTED ON BY RIO TINTO PLC SHAREHOLDERS ONLY.	None	None		Non Voting		
26	10 APR 2014: VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 2, 3, 4, 5 AND VOTES CAST BY ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY ANNOUNCEMENT) YOU SHOULD NOT VOTE (OR VOTE "ABSTAIN") ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S AND YOU COMPLY WITH THE VOTING EXCLUSION.	None	None		Non Voting		
27	10 APR 2014: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF ADDITIONAL COMMENT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT RETURN THIS PROXY FORM UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	None	None		Non Voting		

Proxy Voting Record

ASTRAZENECA PLC, LONDON

Security:	G0593M107	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	24-Apr-2014
ISIN	GB0009895292	Vote Deadline Date:	10-Apr-2014
Agenda	705053407	Management	Total Ballot Shares: 14583
Last Vote Date:	28-Mar-2014		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	To receive the Companys Accounts and the Reports of the Directors and Auditor for the year ended 31 December 2013	For	None	5996	0	0	0
2	To confirm dividends	For	None	5996	0	0	0
3	To appoint KPMG LLP London as Auditor	For	None	5996	0	0	0
4	To authorise the Directors to agree the remuneration of the Auditor	For	None	5996	0	0	0
5	To elect or re-elect Leif Johansson as a Director	For	None	5996	0	0	0
6	To elect or re-elect Pascal Soriot as a Director	For	None	5996	0	0	0
7	To elect or re-elect Marc Dunoyer as a Director	For	None	5996	0	0	0
8	To elect or re-elect Genevieve Berger as a Director	For	None	5996	0	0	0
9	To elect or re-elect Bruce Burlington as a Director	For	None	5996	0	0	0
10	To elect or re-elect Ann Cairns as a Director	For	None	5996	0	0	0
11	To elect or re-elect Graham Chipchase as a Director	For	None	5996	0	0	0
12	To elect or re-elect Jean-Philippe Courtois as a Director	For	None	0	5996	0	0
13	To elect or re-elect Rudy Markham as a Director	For	None	5996	0	0	0
14	To elect or re-elect Nancy Rothwell as a Director	For	None	5996	0	0	0
15	To elect or re-elect Shriti Vadera as a Director	For	None	5996	0	0	0
16	To elect or re-elect John Varley as a Director	For	None	5996	0	0	0
17	To elect or re-elect Marcus Wallenberg as a Director	For	None	5996	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
18	To approve the Annual Report on Remuneration for the year ended 31 December 2013	For	None	5996	0	0	0
19	To approve the Directors Remuneration Policy	For	None	5996	0	0	0
20	To authorise limited EU political donations	For	None	5996	0	0	0
21	To authorise the Directors to allot shares	For	None	5996	0	0	0
22	To authorise the Directors to disapply pre-emption rights	For	None	5996	0	0	0
23	To authorise the Company to purchase its own shares	For	None	5996	0	0	0
24	To reduce the notice period for general meetings	For	None	0	5996	0	0
25	To approve the AstraZeneca 2014 Performance Share Plan	For	None	5996	0	0	0

Proxy Voting Record

ABB LTD, ZUERICH

Security:	H0010V101	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	30-Apr-2014
ISIN	CH0012221716	Vote Deadline Date:	22-Apr-2014
Agenda	705121022	Management	Total Ballot Shares: 13663
Last Vote Date:	08-Apr-2014		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 299440 DUE TO ADDITION OF RESOLUTION 11. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU.	None	None		Non Voting		
2	PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE	None	None		Non Voting		
3	REPORTING FOR FISCAL YEAR 2013	None	None		Non Voting		
4	APPROVAL OF THE ANNUAL REPORT, THE CONSOLIDATED FINANCIAL STATEMENTS, AND THE ANNUAL FINANCIAL STATEMENTS FOR 2013	For	None	13663	0	0	0
5	CONSULTATIVE VOTE ON THE 2013 REMUNERATION REPORT	For	None	13663	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
6	DISCHARGE OF THE BOARD OF DIRECTORS AND THE PERSONS ENTRUSTED WITH MANAGEMENT	For	None	13663	0	0	0
7	APPROPRIATION OF AVAILABLE EARNINGS AND DISTRIBUTION OF CAPITAL CONTRIBUTION RESERVE	For	None	13663	0	0	0
8	CREATION OF ADDITIONAL CONTINGENT SHARE CAPITAL IN CONNECTION WITH EMPLOYEE PARTICIPATION	For	None	0	13663	0	0
9	REVISION OF THE ARTICLES OF INCORPORATION	For	None	13663	0	0	0
10	ELECTION TO THE BOARD OF DIRECTORS: ROGER AGNELLI AS MEMBER	For	None	13663	0	0	0
11	ELECTION TO THE BOARD OF DIRECTORS: MATTI ALAHUHTA AS MEMBER	For	None	13663	0	0	0
12	ELECTION TO THE BOARD OF DIRECTORS: LOUIS R. HUGHES AS MEMBER	For	None	13663	0	0	0
13	ELECTION TO THE BOARD OF DIRECTORS: MICHEL DE ROSEN AS MEMBER	For	None	13663	0	0	0
14	ELECTION TO THE BOARD OF DIRECTORS: MICHAEL TRESCHOW AS MEMBER	For	None	13663	0	0	0
15	ELECTIONS TO THE BOARD OF DIRECTORS: JACOB WALLENBERG AS MEMBER	For	None	13663	0	0	0
16	ELECTIONS TO THE BOARD OF DIRECTORS: YING YEH AS MEMBER	For	None	13663	0	0	0
17	ELECTIONS TO THE BOARD OF DIRECTORS: HUBERTUS VON GRUENBERG AS MEMBER AND CHAIRMAN OF THE BOARD	For	None	13663	0	0	0
18	ELECTION TO THE COMPENSATION COMMITTEE: MICHEL DE ROSEN	For	None	13663	0	0	0
19	ELECTION TO THE COMPENSATION COMMITTEE: MICHAEL TRESCHOW	For	None	13663	0	0	0
20	ELECTION TO THE COMPENSATION COMMITTEE: YING YEH	For	None	13663	0	0	0
21	ELECTION OF THE INDEPENDENT PROXY: DR. HANS ZEHNDER, ATTORNEY-AT-LAW AND NOTARY, BAHNHOFPLATZ1, CH-5401 BADEN	For	None	13663	0	0	0

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Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
22	RE-ELECTION OF THE AUDITORS: ERNST AND YOUNG AG	For	None	13663	0	0	0
23	ADDITIONAL AND/OR COUNTER-PROPOSALS	Abstain	None	0	13663	0	0

Proxy Voting Record

ROYAL PHILIPS NV, EINDHOVEN

Security:	N6817P109	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	01-May-2014
ISIN	NL0000009538	Vote Deadline Date:	17-Apr-2014
Agenda	704976832	Total Ballot Shares:	11401
Last Vote Date:	05-Apr-2014		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	President's Speech	None	None		Non Voting		
2	Receive explanation on the implementation of the remuneration policy	None	None		Non Voting		
3	Receive explanation on policy on additions to reserves and dividends	None	None		Non Voting		
4	Proposal to adopt financial statements	For	None	8683	0	0	0
5	Proposal to adopt a dividend of EUR 0.80 per share	For	None	8683	0	0	0
6	Proposal to discharge the members of the Board of Management for their responsibilities	For	None	8683	0	0	0
7	Proposal to discharge the members of the Supervisory Board for their responsibilities	For	None	8683	0	0	0
8	Proposal to appoint Ms Orit Gadiesh as member the Supervisory Board	For	None	8683	0	0	0
9	Proposal to re-appoint KPMG as external auditor for an interim period of one year	For	None	8683	0	0	0
10	Proposal to authorize the Board of Management for a period of 18 months, per May 1, 2014, as the body which is authorized, with the approval of the Supervisory Board, to issue shares or grant rights to acquire shares, up to a maximum of 10% of the number of issued shares as of May 1, 2014, plus 10% of the issued capital as of that same date in connection with or on the occasion of mergers, acquisitions and/or strategic alliances	For	None	8683	0	0	0
11	Proposal to authorize the Board of Management for a period of 18 months, per May 1, 2014, as the body which is authorized, with the approval of the Supervisory Board, to restrict or exclude the pre-emption rights accruing to Shareholders	For	None	8683	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
12	Proposal to authorize the Board of Management for a period of 18 months, effective May 1, 2014, within the limits of the law and the Articles of Association, to acquire, with the approval of the Supervisory Board, for valuable consideration, on the stock exchange or otherwise, shares in the company, not exceeding 10% of the issued share capital as of May 1, 2014, which number may be increased by 10% of the issued capital as of that same date in connection with the execution of share repurchase programs for capital reduction purposes	For	None	8683	0	0	0
13	Proposal to cancel common shares in the share capital of the company held or to be acquired by the company	For	None	8683	0	0	0
14	Any other business	None	None		Non Voting		

Proxy Voting Record

BASF SE, LUDWIGSHAFEN/RHEIN

Security:	D06216317	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	02-May-2014
ISIN	DE000BASF111	Vote Deadline Date:	18-Apr-2014
Agenda	705064145	Management	Total Ballot Shares: 4184
Last Vote Date:	04-Apr-2014		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Please note that by judgement of OLG Cologne rendered on June 6, 2013, any shareholder who holds an aggregate total of 3 percent or more of the outstanding share capital must register under their beneficial owner details before the appropriate deadline to be able to vote. Failure to comply with the declaration requirements as stipulated in section 21 of the Securities Trade Act (WpHG) may prevent the shareholder from voting at the general meetings. Therefore, your custodian may request that we register beneficial owner data for all voted accounts with the respective sub custodian. If you require further information whether or not such BO registration will be conducted for your custodians accounts, please contact your CSR.	None	None				Non Voting
2	The sub-custodian banks optimized their processes and established solutions, which do not require share blocking. Registered shares will be deregistered according to trading activities or at the deregistration date by the sub custodians. In order to deliver/settle a voted position before the deregistration date a voting instruction cancellation and de-registration request needs to be sent. Please contact your CSR for further information.	None	None				Non Voting
3	The Vote/Registration Deadline as displayed on ProxyEdge is subject to change and will be updated as soon as Broadridge receives confirmation from the sub custodians regarding their instruction deadline. For any queries please contact your Client Services Representative.	None	None				Non Voting
4	ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS NOTIFICATIONS PURSUANT TO THE GERMAN	None	None				Non Voting

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Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	SECURITIES TRADING ACT (WHPG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU.						
5	COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 17.04.2014. FURTHER INFORMATION ON COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT ON PROXYEDGE.	None	None		Non Voting		
6	Presentation of the approved Financial Statements of BASF SE and the approved Consolidated Financial Statements of the BASF Group for the financial year 2013; presentation of the Management's Analyses of BASF SE and the BASF Group for the financial year 2013 including the explanatory reports on the data according to Section 289 (4) and Section 315 (4) of the German Commercial Code; presentation of the Report of the Supervisory Board	None	None		Non Voting		
7	Adoption of a resolution on the appropriation of profit	For	None	2877	0	0	0
8	Adoption of a resolution giving formal approval to the actions of the members of the Supervisory Board	For	None	2877	0	0	0
9	Adoption of a resolution giving formal approval to the actions of the members of the Board of Executive Directors	For	None	2877	0	0	0
10	Election of the auditor for the financial year 2014: KPMG AG	For	None	2877	0	0	0
11	Election of Supervisory Board members: Dame Alison J. Carnwath	For	None	2877	0	0	0
12	Election of Supervisory Board members: Prof. Dr. Francois Diederich	For	None	2877	0	0	0
13	Election of Supervisory Board members: Michael Diekmann	For	None	2877	0	0	0
14	Election of Supervisory Board members: Franz Fehrenbach	For	None	2877	0	0	0

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Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
15	Election of Supervisory Board members: Dr. Juergen Hambrecht	For	None	2877	0	0	0
16	Election of Supervisory Board members: Anke Schaeferkordt	For	None	2877	0	0	0
17	Resolution on the creation of new authorized capital and amendment of the Statutes	For	None	2877	0	0	0
18	Resolution on the approval of the conclusion of nine amendment agreements on existing control and profit transfer agreements: The amendment agreement on the control and profit transfer agreement that was concluded between BASF SE and BASF Plant Science Company GmbH on December 13, 2013, will be approved	For	None	2877	0	0	0
19	Resolution on the approval of the conclusion of nine amendment agreements on existing control and profit transfer agreements: The amendment agreement on the control and profit transfer agreement that was concluded between BASF SE and BASF Pigment GmbH on December 13, 2013, will be approved	For	None	2877	0	0	0
20	Resolution on the approval of the conclusion of nine amendment agreements on existing control and profit transfer agreements: The amendment agreement on the control and profit and loss transfer agreement that was concluded between BASF SE and BASF Immobilien-Gesellschaft mbH on December 13, 2013, will be approved	For	None	2877	0	0	0
21	Resolution on the approval of the conclusion of nine amendment agreements on existing control and profit transfer agreements: The amendment agreement on the control and profit and loss transfer agreement that was concluded between BASF SE and BASF Handels- und Exportgesellschaft mbH on December 13, 2013, will be approved	For	None	2877	0	0	0
22	Resolution on the approval of the conclusion of nine amendment agreements on existing control and profit transfer agreements: The amendment agreement on the control and profit and loss transfer agreement that was concluded between BASF SE and LUWOGGE GmbH on December 6, 2013, will be approved	For	None	2877	0	0	0

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Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
23	Resolution on the approval of the conclusion of nine amendment agreements on existing control and profit transfer agreements: The amendment agreement on the control and profit and loss transfer agreement that was concluded between BASF SE and BASF Schwarzheide GmbH on November 28, 2013/December 13, 2013, will be approved	For	None	2877	0	0	0
24	Resolution on the approval of the conclusion of nine amendment agreements on existing control and profit transfer agreements: The amendment agreement on the control and profit transfer agreement that was concluded between BASF SE and BASF Coatings GmbH on October 24, 2013/ December 13, 2013, will be approved	For	None	2877	0	0	0
25	Resolution on the approval of the conclusion of nine amendment agreements on existing control and profit transfer agreements: The amendment agreement on the control and profit transfer agreement that was concluded between BASF SE and BASF Polyurethanes GmbH on October 29, 2013/ December 13, 2013, will be approved	For	None	2877	0	0	0
26	Resolution on the approval of the conclusion of nine amendment agreements on existing control and profit transfer agreements: The amendment agreement on the control and profit transfer agreement that was concluded between BASF SE and BASF New Business GmbH on December 13, 2013, will be approved	For	None	2877	0	0	0

Proxy Voting Record

SANOFI SA, PARIS

Security:	F5548N101	Meeting Type:	Ordinary General Meeting
Ticker:		Meeting Date:	05-May-2014
ISIN	FR0000120578	Vote Deadline Date:	21-Apr-2014
Agenda	705027654	Management	Total Ballot Shares: 3608
Last Vote Date:	15-Apr-2014		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PLEASE NOTE IN THE FRENCH MARKET THAT THE ONLY VALID VOTE OPTIONS ARE "FOR" AND "AGAINST" A VOTE OF "ABSTAIN" WILL BE TREATED AS AN "AGAINST" VOTE.	None	None		Non Voting		
2	THE FOLLOWING APPLIES TO SHAREHOLDERS THAT DO NOT HOLD SHARES DIRECTLY WITH A FRENCH CUSTODIAN: PROXY CARDS: VOTING INSTRUCTIONS WILL BE FORWARDED TO THE GLOBAL CUSTODIANS ON THE VOTE DEADLINE DATE. IN CAPACITY AS REGISTERED INTERMEDIARY, THE GLOBAL CUSTODIANS WILL SIGN THE PROXY CARDS AND FORWARD THEM TO THE LOCAL CUSTODIAN. IF YOU REQUEST MORE INFORMATION, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE.	None	None		Non Voting		
3	14 APR 2014: PLEASE NOTE THAT IMPORTANT ADDITIONAL MEETING INFORMATION IS AVAILABLE BY CLICKING ON THE MATERIAL URL LINK: https://balo.journal-officiel.gouv.fr/pdf/2014/0312/201403121400621.pdf . PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF ADDITIONAL URL: http://www.journal-officiel.gouv.fr/pdf/2014/0414/201404141401110.pdf . IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT RETURN THIS PROXY FORM UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU	None	None		Non Voting		
4	Approval of the annual corporate financial statements for the 2013 financial year	For	None	3224	0	0	0
5	Approval of the consolidated financial statements for the 2013 financial year	For	None	3224	0	0	0
6	Allocation of income and setting the dividend	For	None	3224	0	0	0
7	Agreements and commitments pursuant to Articles L.225-38 et seq. of the Commercial Code	For	None	3224	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
8	Renewal of term of Mr. Christopher Viehbacher as Board member	For	None	3224	0	0	0
9	Renewal of term of Mr. Robert Castaigne as Board member	For	None	3224	0	0	0
10	Renewal of term of Mr. Christian Mulliez as Board member	For	None	3224	0	0	0
11	Appointment of Mr. Patrick Kron as Board member	For	None	3224	0	0	0
12	Review of the compensation owed or paid to Mr. Serge Weinberg, Chairman of the Board of Directors for the financial year ended on December 31st, 2013	For	None	3224	0	0	0
13	Review of the compensation owed or paid to Mr. Christopher Viehbacher, CEO for the financial year ended on December 31st, 2013	For	None	3224	0	0	0
14	Authorization to be granted to the Board of Directors to trade in Company's shares	For	None	3224	0	0	0
15	Powers to carry out all legal formalities	For	None	3224	0	0	0

Proxy Voting Record

GLAXOSMITHKLINE PLC, BRENTFORD MIDDLESEX

Security:	G3910J112		Meeting Type:	Annual General Meeting
Ticker:			Meeting Date:	07-May-2014
ISIN	GB0009252882		Vote Deadline Date:	23-Apr-2014
Agenda	705069664	Management	Total Ballot Shares:	25076
Last Vote Date:	10-Apr-2014			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	To receive the 2013 Annual Report	For	None	16218	0	0	0
2	To approve the Annual Remuneration Report	For	None	16218	0	0	0
3	To approve the Remuneration Policy	For	None	16218	0	0	0
4	To re-elect Sir Christopher Gent as a Director	For	None	16218	0	0	0
5	To re-elect Sir Andrew Witty as a Director	For	None	16218	0	0	0
6	To re-elect Professor Sir Roy Anderson as a Director	For	None	16218	0	0	0
7	To re-elect Dr Stephanie Burns as a Director	For	None	16218	0	0	0
8	To re-elect Stacey Cartwright as a Director	For	None	16218	0	0	0
9	To re-elect Simon Dingemans as a Director	For	None	16218	0	0	0
10	To re-elect Lynn Elsenhans as a Director	For	None	16218	0	0	0
11	To re-elect Judy Lewent as a Director	For	None	16218	0	0	0
12	To re-elect Sir Deryck Maughan as a Director	For	None	16218	0	0	0
13	To re-elect Dr Daniel Podolsky as a Director	For	None	16218	0	0	0
14	To re-elect Dr Moncef Slaoui as a Director	For	None	16218	0	0	0
15	To re-elect Tom de Swaan as a Director	For	None	16218	0	0	0
16	To re-elect Jing Ulrich as a Director	For	None	16218	0	0	0
17	To re-elect Hans Wijers as a Director	For	None	16218	0	0	0
18	To re-appoint auditors: PricewaterhouseCoopers LLP	For	None	16218	0	0	0
19	To determine remuneration of auditors	For	None	16218	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
20	To authorise the company and its subsidiaries to make donations to political organisations and incur political expenditure	For	None	16218	0	0	0
21	To authorise allotment of shares	For	None	16218	0	0	0
22	To disapply pre-emption rights	For	None	16218	0	0	0
23	To authorise the company to purchase its own shares	For	None	16218	0	0	0
24	To authorise exemption from statement of name of senior statutory auditor	For	None	16218	0	0	0
25	To authorise reduced notice of a general meeting other than an AGM	For	None	0	16218	0	0

Proxy Voting Record

BG GROUP PLC

Security:	G1245Z108		Meeting Type:	Annual General Meeting
Ticker:			Meeting Date:	15-May-2014
ISIN	GB0008762899		Vote Deadline Date:	01-May-2014
Agenda	705116285	Management	Total Ballot Shares:	38035
Last Vote Date:	21-Apr-2014			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	ANNUAL REPORT AND ACCOUNTS	For	None	17854	0	0	0
2	REMUNERATION POLICY	For	None	17854	0	0	0
3	REMUNERATION REPORT	For	None	0	17854	0	0
4	DECLARATION OF DIVIDEND : 15.68 CENTS PER SHARE IN RESPECT OF THE YEAR ENDED 31 DECEMBER 2013. THE FINAL DIVIDEND IS PAYABLE ON 30 MAY 2014 TO HOLDERS OF ORDINARY SHARES OF 10 PENCE EACH IN THE COMPANY (ORDINARY SHARES) ON THE REGISTER OF MEMBERS AT THE CLOSE OF BUSINESS ON 25 APRIL 2014, AS RECOMMENDED BY THE DIRECTORS	For	None	17854	0	0	0
5	ELECTION OF SIMON LOWTH	For	None	17854	0	0	0
6	ELECTION OF PAM DALEY	For	None	17854	0	0	0
7	ELECTION OF MARTIN FERGUSON	For	None	17854	0	0	0
8	RE-ELECTION OF VIVIENNE COX	For	None	17854	0	0	0
9	RE-ELECTION OF CHRIS FINLAYSON	For	None	17854	0	0	0
10	RE-ELECTION OF ANDREW GOULD	For	None	17854	0	0	0
11	RE-ELECTION OF BARONESS HOGG	For	None	17854	0	0	0
12	RE-ELECTION OF DR JOHN HOOD	For	None	17854	0	0	0
13	RE-ELECTION OF CAIO KOCH-WESER	For	None	17854	0	0	0
14	RE-ELECTION OF LIM HAW-KUANG	For	None	17854	0	0	0
15	RE-ELECTION OF SIR DAVID MANNING	For	None	17854	0	0	0
16	RE-ELECTION OF MARK SELIGMAN	For	None	17854	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
17	RE-ELECTION OF PATRICK THOMAS	For	None	17854	0	0	0
18	RE-APPOINTMENT OF AUDITORS :ERNST & YOUNG LLP	For	None	17854	0	0	0
19	REMUNERATION OF AUDITORS	For	None	17854	0	0	0
20	POLITICAL DONATIONS	For	None	17854	0	0	0
21	AUTHORITY TO ALLOT SHARES	For	None	17854	0	0	0
22	DISAPPLICATION OF PRE-EMPTION RIGHTS	For	None	17854	0	0	0
23	AUTHORITY TO MAKE MARKET PURCHASES OF OWN SHARES	For	None	17854	0	0	0
24	NOTICE PERIODS FOR GENERAL MEETINGS	For	None	0	17854	0	0

Proxy Voting Record

SAP AG, WALLDORF/BADEN

Security:	D66992104	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	21-May-2014
ISIN	DE0007164600	Vote Deadline Date:	07-May-2014
Agenda	705161103	Management	Total Ballot Shares: 9327
Last Vote Date:	24-Apr-2014		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS NOTIFICATIONS PURSUANT TO THE GERMAN SECURITIES TRADING ACT (WHPG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU.	None	None		Non Voting		
2	PLEASE NOTE THAT THE TRUE RECORD DATE FOR THIS MEETING IS 30 APR 2014, WHEREAS THE MEETING HAS BEEN SETUP USING THE ACTUAL RECORD DATE-1 BUSINESS DAY. THIS IS DONE TO ENSURE THAT ALL POSITIONS REPORTED ARE IN CONCURRENCE WITH THE GERMAN LAW. THANK YOU.	None	None		Non Voting		
3	COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 06 MAY 2014. FURTHER INFORMATION ON COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT ON PROXYEDGE.	None	None		Non Voting		

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
4	PRESENTATION OF THE ADOPTED ANNUAL FINANCIAL STATEMENTS AND THE APPROVED GROUP ANNUAL FINANCIAL STATEMENTS, THE COMBINED MANAGEMENT REPORT AND GROUP MANAGEMENT REPORT OF SAP AG, INCLUDING THE EXECUTIVE BOARD'S EXPLANATORY NOTES RELATING TO THE INFORMATION PROVIDED PURSUANT TO SECTIONS 289 (4) AND (5) AND 315 (4) OF THE GERMAN COMMERCIAL CODE (HANDELSGESETZBUCH; "HGB"), AND THE SUPERVISORY BOARD'S REPORT, EACH FOR FISCAL YEAR 2013	None	None				Non Voting
5	RESOLUTION ON THE APPROPRIATION OF THE RETAINED EARNINGS OF FISCAL YEAR 2013: THE DISTRIBUTABLE PROFIT IN THE AMOUNT OF EUR 7,595,363,764.58 SHALL BE APPROPRIATED AS FOLLOWS: PAYMENT OF A DIVIDEND OF EUR 1 PER NO-PAR SHARE EUR 6,001,620,574.58 SHALL BE CARRIED FORWARD EUR 400,000,000 EX-DIVIDEND AND PAYABLE DATE: MAY 22, 2014	For	None	4076	0	0	0
6	RESOLUTION ON THE FORMAL APPROVAL OF THE ACTS OF THE EXECUTIVE BOARD IN FISCAL YEAR 2013	For	None	4076	0	0	0
7	RESOLUTION ON THE FORMAL APPROVAL OF THE ACTS OF THE SUPERVISORY BOARD IN FISCAL YEAR 2013	For	None	4076	0	0	0
8	APPOINTMENT OF THE AUDITORS OF THE FINANCIAL STATEMENTS AND GROUP ANNUAL FINANCIAL STATEMENTS FOR FISCAL YEAR 2014: KPMG AG	For	None	4076	0	0	0
9	RESOLUTION ON THE APPROVAL OF TWO AMENDMENT AGREEMENTS TO EXISTING CONTROL AND PROFIT AND LOSS TRANSFER AGREEMENTS BETWEEN SAP AG AND TWO SUBSIDIARIES: THE AMENDMENT AGREEMENT TO THE CONTROL AND PROFIT AND LOSS TRANSFER AGREEMENT WITH SAP ERSTE BETEILIGUNGS-UND VERMOGENSVERWALTUNGS GMBH DATED MARCH 18, 2014 IS APPROVED	For	None	4076	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
10	RESOLUTION ON THE APPROVAL OF TWO AMENDMENT AGREEMENTS TO EXISTING CONTROL AND PROFIT AND LOSS TRANSFER AGREEMENTS BETWEEN SAP AG AND TWO SUBSIDIARIES: THE AMENDMENT AGREEMENT TO THE CONTROL AND PROFIT AND LOSS TRANSFER AGREEMENT WITH SAP ZWEITE BETEILIGUNGS-UND VERMOGENSVERWALTUNGS GMBH DATED MARCH 18, 2014 IS APPROVED	For	None	4076	0	0	0
11	RESOLUTION ON THE APPROVAL OF A CONTROL AND PROFIT AND LOSS TRANSFER AGREEMENT BETWEEN SAP AG AND A SUBSIDIARY	For	None	4076	0	0	0
12	CONVERSION WITH CHANGE OF LEGAL FORM OF THE COMPANY TO A EUROPEAN COMPANY (SE) AND ELECTIONS TO THE FIRST SUPERVISORY BOARD OF SAP SE: THE CONVERSION PLAN DATED MARCH 21, 2014 (DEEDS OF NOTARY PUBLIC DR HOFFMANN-REMY, WITH OFFICE IN HEIDELBERG, NOTARY'S OFFICE 5 OF HEIDELBERG, ROLL OF DEEDS NO. 5 UR 493/2014 AND 500/2014) CONCERNING THE CONVERSION OF SAP AG TO A EUROPEAN COMPANY (SOCIETAS EUROPAEA, SE) IS APPROVED; THE ARTICLES OF INCORPORATION OF SAP SE ATTACHED TO THE CONVERSION PLAN AS AN ANNEX ARE ADOPTED; WITH REGARD TO SECTION 4 (1) AND (5) THROUGH (8) OF THE ARTICLES OF INCORPORATION OF SAP SE, SECTION 3.5 OF THE CONVERSION PLAN SHALL APPLY	For	None	4076	0	0	0
13	CONVERSION WITH CHANGE OF LEGAL FORM OF THE COMPANY TO A EUROPEAN COMPANY (SE) AND ELECTIONS TO THE FIRST SUPERVISORY BOARD OF SAP SE: PROF. DR. H. C. MULT. HASSO PLATTNER	For	None	0	4076	0	0
14	CONVERSION WITH CHANGE OF LEGAL FORM OF THE COMPANY TO A EUROPEAN COMPANY (SE) AND ELECTIONS TO THE FIRST SUPERVISORY BOARD OF SAP SE: PEKKA ALA-PIETILAE	For	None	4076	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
15	CONVERSION WITH CHANGE OF LEGAL FORM OF THE COMPANY TO A EUROPEAN COMPANY (SE) AND ELECTIONS TO THE FIRST SUPERVISORY BOARD OF SAP SE: PROF. ANJA FELDMANN	For	None	4076	0	0	0
16	CONVERSION WITH CHANGE OF LEGAL FORM OF THE COMPANY TO A EUROPEAN COMPANY (SE) AND ELECTIONS TO THE FIRST SUPERVISORY BOARD OF SAP SE: PROF. DR. WILHELM HAARMANN	For	None	0	4076	0	0
17	CONVERSION WITH CHANGE OF LEGAL FORM OF THE COMPANY TO A EUROPEAN COMPANY (SE) AND ELECTIONS TO THE FIRST SUPERVISORY BOARD OF SAP SE: BERNARD LIAUTAUD	For	None	4076	0	0	0
18	CONVERSION WITH CHANGE OF LEGAL FORM OF THE COMPANY TO A EUROPEAN COMPANY (SE) AND ELECTIONS TO THE FIRST SUPERVISORY BOARD OF SAP SE: DR. H. C. HARTMUT MEHDORN	For	None	4076	0	0	0
19	CONVERSION WITH CHANGE OF LEGAL FORM OF THE COMPANY TO A EUROPEAN COMPANY (SE) AND ELECTIONS TO THE FIRST SUPERVISORY BOARD OF SAP SE: DR. ERHARD SCHIPPOREIT	For	None	4076	0	0	0
20	CONVERSION WITH CHANGE OF LEGAL FORM OF THE COMPANY TO A EUROPEAN COMPANY (SE) AND ELECTIONS TO THE FIRST SUPERVISORY BOARD OF SAP SE: JIM HAGEMANN SNABE	For	None	0	4076	0	0
21	CONVERSION WITH CHANGE OF LEGAL FORM OF THE COMPANY TO A EUROPEAN COMPANY (SE) AND ELECTIONS TO THE FIRST SUPERVISORY BOARD OF SAP SE: PROF. DR-ING. E. H. KLAUS WUCHERER	For	None	0	4076	0	0

Proxy Voting Record

NTT DOCOMO, INC.

Security:	J59399121	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	19-Jun-2014
ISIN	JP3165650007	Vote Deadline Date:	17-Jun-2014
Agenda	705328258	Total Ballot Shares:	10700
	Management		
Last Vote Date:	30-May-2014		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Please reference meeting materials.	None	None		Non Voting		
2	Approve Appropriation of Surplus	For	None	10700	0	0	0
3	Appoint a Director	For	None	0	10700	0	0
4	Appoint a Director	For	None	0	10700	0	0
5	Appoint a Director	For	None	0	10700	0	0
6	Appoint a Director	For	None	0	10700	0	0
7	Appoint a Director	For	None	0	10700	0	0
8	Appoint a Director	For	None	0	10700	0	0
9	Appoint a Director	For	None	0	10700	0	0
10	Appoint a Director	For	None	0	10700	0	0
11	Appoint a Director	For	None	0	10700	0	0
12	Appoint a Director	For	None	0	10700	0	0
13	Appoint a Director	For	None	0	10700	0	0
14	Appoint a Director	For	None	0	10700	0	0
15	Appoint a Director	For	None	0	10700	0	0
16	Appoint a Director	For	None	0	10700	0	0
17	Appoint a Director	For	None	0	10700	0	0
18	Appoint a Corporate Auditor	For	None	10700	0	0	0
19	Appoint a Corporate Auditor	For	None	0	10700	0	0

Proxy Voting Record

SHIN-ETSU CHEMICAL CO.,LTD.

Security:	J72810120	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	27-Jun-2014
ISIN	JP3371200001	Vote Deadline Date:	13-Jun-2014
Agenda	705358821	Total Ballot Shares:	4000
Last Vote Date:	14-Jun-2014		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Approve Appropriation of Surplus	For	None	3100	0	0	0
2	Appoint a Director	For	None	3100	0	0	0
3	Appoint a Director	For	None	3100	0	0	0
4	Appoint a Director	For	None	3100	0	0	0
5	Appoint a Director	For	None	3100	0	0	0
6	Appoint a Director	For	None	3100	0	0	0
7	Appoint a Director	For	None	3100	0	0	0
8	Appoint a Director	For	None	3100	0	0	0
9	Appoint a Director	For	None	3100	0	0	0
10	Appoint a Director	For	None	3100	0	0	0
11	Appoint a Director	For	None	3100	0	0	0
12	Appoint a Director	For	None	3100	0	0	0
13	Appoint a Corporate Auditor	For	None	3100	0	0	0
14	Approve Continuance of Policy regarding Large-scale Purchases of Company Shares	For	None	0	3100	0	0