

Proxy Voting Record

Meeting Date Range: 01-Jul-2014 To 30-Jun-2015

Selected Accounts

SIEMENS AG, MUENCHEN

Security:	D69671218	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	27-Jan-2015
ISIN	DE0007236101	Vote Deadline Date:	13-Jan-2015
Agenda	705749882	Management	Total Ballot Shares: 4062
Last Vote Date:	06-Jan-2015		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Please note that by judgement of OLG Cologne rendered on June 6, 2012, any shareholder who holds an aggregate total of 3 percent or more of the outstanding share capital must register under their beneficial owner details before the appropriate deadline to be able to vote. Failure to comply with the declaration requirements as stipulated in section 21 of the Securities Trade Act (WpHG) may prevent the shareholder from voting at the general meetings. Therefore, your custodian may request that we register beneficial owner data for all voted accounts with the respective sub custodian. If you require further information whether or not such BO registration will be conducted for your custodians accounts, please contact your CSR.	None	None		Non Voting		
2	The sub-custodian banks optimized their processes and established solutions, which do not require share blocking. Registered shares will be deregistered according to trading activities or at the deregistration date by the sub custodians. In order to deliver/settle a voted position before the deregistration date a voting instruction cancellation and de-registration request needs to be sent. Please contact your CSR for further information.	None	None		Non Voting		
3	The Vote/Registration Deadline as displayed on ProxyEdge is subject to change and will be updated as soon as Broadridge receives confirmation from the sub custodians regarding their instruction deadline. For any queries please contact your Client Services Representative.	None	None		Non Voting		

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
4	ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS NOTIFICATIONS PURSUANT TO THE GERMAN SECURITIES TRADING ACT (WHPG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU.	None	None		Non Voting		
5	COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 12.01.2015. FURTHER INFORMATION ON COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT ON PROXYEDGE.	None	None		Non Voting		
6	To receive and consider the adopted Annual Financial Statements of Siemens AG and the approved Consolidated Financial Statements, together with the Combined Management Report of Siemens AG and the Siemens Group, including the Explanatory Report on the information required pursuant to Section 289 (4) and (5) and Section 315 (4) of the German Commercial Code (HGB) as of September 30, 2014, as well as the Report of the Supervisory Board, the Corporate Governance Report, the Compensation Report and the Compliance Report for fiscal year 2014	None	None		Non Voting		
7	To resolve on the appropriation of the net income of Siemens AG to pay a dividend	For	None	3657	0	0	0
8	To ratify the acts of the members of the Managing Board	For	None	3657	0	0	0
9	To ratify the acts of the members of the Supervisory Board	For	None	3657	0	0	0
10	To resolve on the approval of the system of Managing Board compensation	For	None	0	3657	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
11	To resolve on the appointment of independent auditors for the audit of the Annual Financial Statements and the Consolidated Financial Statements and for the review of the Interim Financial Statements	For	None	3657	0	0	0
12	To resolve on by-elections to the Supervisory Board: Dr. Ellen Anna Nathalie von Siemens	For	None	3657	0	0	0
13	To resolve on by-elections to the Supervisory Board: Dr.-Ing. Dr.-Ing. E.h. Norbert Reithofer	For	None	3657	0	0	0
14	To resolve on the authorization to repurchase and use Siemens shares pursuant to Section 71 (1) no. 8 of the German Stock Corporation Act (AktG) and to exclude shareholders' subscription and tender rights	For	None	3657	0	0	0
15	To resolve on the authorization to use derivatives in connection with the repurchase of Siemens shares pursuant to Section 71 (1) no. 8 of the German Stock Corporation Act (AktG), and to exclude shareholders' subscription and tender rights	For	None	3657	0	0	0
16	To resolve on the creation of a new authorization of the Managing Board to issue convertible bonds and / or warrant bonds and exclude shareholders' subscription rights, and on the creation of a Conditional Capital 2015 and related amendments to the Articles of Association	For	None	3657	0	0	0
17	To resolve on the approval of a settlement agreement with a former member of the Managing Board	For	None	3657	0	0	0
18	To resolve on amendments to the Articles of Association in order to modernize provisions of the Articles of Association and make them more flexible	For	None	3657	0	0	0
19	To resolve on the approval of a control and profit-and-loss transfer agreement between Siemens AG and a subsidiary	For	None	3657	0	0	0

Proxy Voting Record

NOVARTIS AG, BASEL

Security:	H5820Q150	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	27-Feb-2015
ISIN	CH0012005267	Vote Deadline Date:	23-Feb-2015
Agenda	705800539	Management	Total Ballot Shares: 6437
Last Vote Date:	03-Feb-2015		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE	None	None		Non Voting		
2	APPROVAL OF THE ANNUAL REPORT OF NOVARTIS AG, THE FINANCIAL STATEMENTS OF NOVARTIS AG AND THE GROUP CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2014 FINANCIAL YEAR	For	None	6437	0	0	0
3	DISCHARGE FROM LIABILITY OF THE MEMBERS OF THE BOARD OF DIRECTORS AND THE EXECUTIVE COMMITTEE	For	None	6437	0	0	0
4	APPROPRIATION OF AVAILABLE EARNINGS OF NOVARTIS AG AS PER BALANCE SHEET AND DECLARATION OF DIVIDEND	For	None	6437	0	0	0
5	REDUCTION OF SHARE CAPITAL (SEE FULL NOTICE OF AGM FOR DETAILS)	For	None	6437	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
6	REVISION OF THE ARTICLES OF INCORPORATION (SEE FULL NOTICE OF AGM FOR DETAILS)	For	None	6437	0	0	0
7	BINDING VOTE ON TOTAL COMPENSATION FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2015 ANNUAL GENERAL MEETING TO THE 2016 ANNUAL GENERAL MEETING (SEE FULL NOTICE OF AGM FOR DETAILS)	For	None	6437	0	0	0
8	BINDING VOTE ON TOTAL COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE NEXT FINANCIAL YEAR, I.E. 2016 (SEE FULL NOTICE OF AGM FOR DETAILS)	For	None	6437	0	0	0
9	ADVISORY VOTE ON THE 2014 COMPENSATION REPORT	For	None	6437	0	0	0
10	RE-ELECTION OF JOERG REINHARDT, PH.D., AND RE-ELECTION AS CHAIRMAN OF THE BOARD OF DIRECTORS (IN A SINGLE VOTE)	For	None	6437	0	0	0
11	RE-ELECTION OF DIMITRI AZAR, M.D., AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	6437	0	0	0
12	RE-ELECTION OF VERENA A. BRINER, M.D., AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	6437	0	0	0
13	RE-ELECTION OF SRIKANT DATAR, PH.D., AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	6437	0	0	0
14	RE-ELECTION OF ANN FUDGE AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	6437	0	0	0
15	RE-ELECTION OF PIERRE LANDOLT, PH.D., AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	6437	0	0	0
16	RE-ELECTION OF ANDREAS VON PLANTA, PH.D AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	6437	0	0	0
17	RE-ELECTION OF CHARLES L. SAWYERS, M.D., AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	6437	0	0	0
18	RE-ELECTION OF ENRICO VANNI, PH.D., AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	6437	0	0	0
19	RE-ELECTION OF WILLIAM T. WINTERS AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	6437	0	0	0
20	ELECTION OF NANCY C. ANDREWS, M.D., PH.D., AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	6437	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
21	RE-ELECTION OF SRIKANT DATAR, PH.D., AS MEMBER OF THE COMPENSATION COMMITTEE	For	None	6437	0	0	0
22	RE-ELECTION OF ANN FUDGE AS MEMBER OF THE COMPENSATION COMMITTEE	For	None	6437	0	0	0
23	RE-ELECTION OF ENRICO VANNI, PH.D., AS MEMBER OF THE COMPENSATION COMMITTEE	For	None	6437	0	0	0
24	ELECTION OF WILLIAM T. WINTERS AS MEMBER OF THE COMPENSATION COMMITTEE	For	None	6437	0	0	0
25	RE-ELECTION OF THE STATUTORY AUDITOR: PRICEWATERHOUSECOOPERS AG	For	None	6437	0	0	0
26	RE-ELECTION OF THE INDEPENDENT PROXY: LIC. IUR. PETER ANDREAS ZAHN, ATTORNEY AT LAW, BASEL	For	None	6437	0	0	0
27	IF ALTERNATIVE MOTIONS UNDER THE AGENDA ITEMS PUBLISHED IN THE NOTICE OF ANNUAL GENERAL MEETING AND/OR MOTIONS RELATING TO ADDITIONAL AGENDA ITEMS (ARTICLE 700 PARAGRAPH 3 OF THE SWISS CODE OF OBLIGATIONS) ARE PROPOSED AT THE ANNUAL GENERAL MEETING, I/WE INSTRUCT THE INDEPENDENT PROXY TO VOTE AS FOLLOWS: (YES = ACCORDING TO THE MOTION OF THE BOARD OF DIRECTORS, AGAINST = AGAINST ALTERNATIVE/ADDITIONAL MOTIONS, ABSTAIN = ABSTAIN FROM VOTING)	For	None	0	0	6437	0

Proxy Voting Record

SAMSUNG ELECTRONICS CO LTD, SUWON

Security:	796050888	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	13-Mar-2015
ISIN	US7960508882	Vote Deadline Date:	27-Feb-2015
Agenda	705846066	Management	Total Ballot Shares: 503
Last Vote Date:	26-Feb-2015		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 431340 DUE TO SPLITTING OF RESOLUTION 2. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU.	None	None		Non Voting		
2	TO APPROVE, AUDITED FINANCIAL STATEMENTS FOR FY 2014 (FROM JAN 1, 2014 TO DEC 31, 2014). APPROVAL OF STATEMENTS OF FINANCIAL POSITION, INCOME, AND CASH FLOW, ETC. THE TOTAL DIVIDEND PER SHARE IN 2014 IS KRW 20,000 FOR COMMON AND KRW 20,050 FOR PREFERRED SHARES, INCLUDING INTERIM DIVIDEND OF KRW 500 PER SHARE PAID IN AUGUST 2014	For	None	0	490	0	0
3	APPOINTMENT OF INDEPENDENT DIRECTOR: MR. HAN-JOONG KIM	For	None	490	0	0	0
4	APPOINTMENT OF INDEPENDENT DIRECTOR: MR. BYEONG-GI LEE	For	None	490	0	0	0
5	APPOINTMENT OF EXECUTIVE DIRECTOR MR. OH-HYUN KWON	For	None	490	0	0	0
6	APPOINTMENT OF MEMBER OF AUDIT COMMITTEE MR. HAN-JOONG KIM	For	None	0	490	0	0
7	TO APPROVE, THE REMUNERATION LIMIT FOR THE DIRECTORS FOR FY 2015	For	None	490	0	0	0
8	PLEASE BE ADVISED, IF YOU MARK THE "ABSTAIN" BOX FOR THE ABOVE PROPOSALS, YOU WILL BE GIVING A "DISCRETIONARY PROXY TO A DESIGNEE OF THE BOARD OF DIRECTORS". THANK YOU.	None	None		Non Voting		

Proxy Voting Record

RIO TINTO PLC, LONDON

Security:	G75754104		Meeting Type:	Annual General Meeting
Ticker:			Meeting Date:	16-Apr-2015
ISIN	GB0007188757		Vote Deadline Date:	02-Apr-2015
Agenda	705894358	Management	Total Ballot Shares:	23383
Last Vote Date:	24-Mar-2015			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	RECEIPT OF THE 2014 ANNUAL REPORT	For	None	6206	0	0	0
2	APPROVAL OF THE REMUNERATION POLICY REPORT	For	None	6206	0	0	0
3	APPROVAL OF THE DIRECTORS' REPORT ON REMUNERATION AND REMUNERATION COMMITTEE CHAIRMAN'S LETTER	For	None	6206	0	0	0
4	APPROVAL OF THE REMUNERATION REPORT	For	None	6206	0	0	0
5	TO ELECT MEGAN CLARK AS A DIRECTOR	For	None	6206	0	0	0
6	TO ELECT MICHAEL L'ESTRANGE AS A DIRECTOR	For	None	6206	0	0	0
7	TO RE-ELECT ROBERT BROWN AS A DIRECTOR	For	None	6206	0	0	0
8	TO RE-ELECT JAN DU PLESSIS AS A DIRECTOR	For	None	6206	0	0	0
9	TO RE-ELECT ANN GODBEHERE AS A DIRECTOR	For	None	6206	0	0	0
10	TO RE-ELECT RICHARD GOODMANSON AS A DIRECTOR	For	None	6206	0	0	0
11	TO RE-ELECT ANNE LAUVERGEON AS A DIRECTOR	For	None	6206	0	0	0
12	TO RE-ELECT CHRIS LYNCH AS A DIRECTOR	For	None	6206	0	0	0
13	TO RE-ELECT PAUL TELLIER AS A DIRECTOR	For	None	6206	0	0	0
14	TO RE-ELECT SIMON THOMPSON AS A DIRECTOR	For	None	6206	0	0	0
15	TO RE-ELECT JOHN VARLEY AS A DIRECTOR	For	None	6206	0	0	0
16	TO RE-ELECT SAM WALSH AS A DIRECTOR	For	None	6206	0	0	0
17	RE-APPOINTMENT OF AUDITORS: PRICEWATERHOUSECOOPERS LLP	For	None	6206	0	0	0
18	REMUNERATION OF AUDITORS	For	None	6206	0	0	0
19	GENERAL AUTHORITY TO ALLOT SHARES	For	None	6206	0	0	0
20	DISAPPLICATION OF PRE-EMPTION RIGHTS	For	None	6206	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
21	AUTHORITY TO PURCHASE RIO TINTO PLC SHARES	For	None	6206	0	0	0
22	NOTICE PERIOD OF GENERAL MEETINGS OTHER THAN ANNUAL GENERAL MEETINGS	For	None	0	6206	0	0
23	PLEASE NOTE THAT RESOLUTIONS IN ACCORDANCE WITH RIO TINTOS DUAL LISTED COMPANIES STRUCTURE, AS JOINT DECISION MATTERS, RESOLUTIONS 1 TO 18 (INCLUSIVE) WILL BE VOTED ON BY THE COMPANY AND RIO TINTO LIMITED SHAREHOLDERS AS A JOINT ELECTORATE AND RESOLUTIONS 19 TO 22 (INCLUSIVE) WILL BE VOTED ON BY THE COMPANY'S SHAREHOLDERS ONLY	None	None		Non Voting		
24	VOTING EXCLUSIONS APPLY TO THIS MEETING FOR PROPOSALS 2, 3 AND 4 AND VOTES CAST BY ANY INDIVIDUAL OR RELATED PARTY WHO BENEFIT FROM THE PASSING OF THE PROPOSAL/S WILL BE DISREGARDED BY THE COMPANY. HENCE, IF YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN FUTURE BENEFIT (AS REFERRED IN THE COMPANY ANNOUNCEMENT) VOTE ABSTAIN ON THE RELEVANT PROPOSAL ITEMS. BY DOING SO, YOU ACKNOWLEDGE THAT YOU HAVE OBTAINED BENEFIT OR EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S. BY VOTING (FOR OR AGAINST) ON THE ABOVE MENTIONED PROPOSAL/S, YOU ACKNOWLEDGE THAT YOU HAVE NOT OBTAINED BENEFIT NEITHER EXPECT TO OBTAIN BENEFIT BY THE PASSING OF THE RELEVANT PROPOSAL/S AND YOU COMPLY WITH THE VOTING EXCLUSION	None	None		Non Voting		

Proxy Voting Record

ASTRAZENECA PLC, LONDON

Security:	G0593M107	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	24-Apr-2015
ISIN	GB0009895292	Vote Deadline Date:	10-Apr-2015
Agenda	705904387	Management	Total Ballot Shares: 22767
Last Vote Date:	27-Mar-2015		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	TO RECEIVE THE COMPANY'S ACCOUNTS AND THE REPORTS OF THE DIRECTORS AND AUDITOR FOR THE YEAR ENDED 31 DEC 14	For	None	8154	0	0	0
2	TO CONFIRM DIVIDENDS : TO CONFIRM THE FIRST INTERIM DIVIDEND OF USD0.90 (53.1 PENCE, SEK 6.20) PER ORDINARY SHARE AND TO CONFIRM AS THE FINAL DIVIDEND FOR 2014 THE SECOND INTERIM DIVIDEND OF USD1.90 (125.0 PENCE, SEK 15.62) PER ORDINARY SHARE	For	None	8154	0	0	0
3	TO RE-APPOINT KPMG LLP LONDON AS AUDITOR	For	None	8154	0	0	0
4	TO AUTHORISE THE DIRECTORS TO AGREE THE REMUNERATION OF THE AUDITOR	For	None	8154	0	0	0
5	TO ELECT OR RE-ELECT LEIF JOHANSSON	For	None	8154	0	0	0
6	TO ELECT OR RE-ELECT PASCAL SORIOT	For	None	8154	0	0	0
7	TO ELECT OR RE-ELECT MARC DUNOYER	For	None	8154	0	0	0
8	TO ELECT OR RE-ELECT CORI BARGMANN	For	None	8154	0	0	0
9	TO ELECT OR RE-ELECT GENEVIEVE BERGER	For	None	8154	0	0	0
10	TO ELECT OR RE-ELECT BRUCE BURLINGTON	For	None	8154	0	0	0
11	TO ELECT OR RE-ELECT ANN CAIRNS	For	None	8154	0	0	0
12	TO ELECT OR RE-ELECT GRAHAM CHIPCHASE	For	None	8154	0	0	0
13	TO ELECT OR RE-ELECT JEAN-PHILIPPE COURTOIS	For	None	8154	0	0	0
14	TO ELECT OR RE-ELECT RUDY MARKHAM	For	None	8154	0	0	0
15	TO ELECT OR RE-ELECT SHRITI VADERA	For	None	8154	0	0	0
16	TO ELECT OR RE-ELECT MARCUS WALLENBERG	For	None	8154	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
17	TO APPROVE THE ANNUAL REPORT ON REMUNERATION FOR THE YEAR ENDED 31 DEC 14	For	None	8154	0	0	0
18	TO AUTHORISE LIMITED EU POLITICAL DONATIONS	For	None	8154	0	0	0
19	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES	For	None	8154	0	0	0
20	TO AUTHORISE THE DIRECTORS TO DISAPPLY PRE-EMPTION RIGHTS	For	None	8154	0	0	0
21	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN SHARES	For	None	8154	0	0	0
22	TO REDUCE THE NOTICE PERIOD FOR GENERAL MEETINGS	For	None	0	8154	0	0
23	TO ADOPT NEW ARTICLES OF ASSOCIATION	For	None	8154	0	0	0
24	24 MAR 2015: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND AMOUNT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	None	None		Non Voting		

Proxy Voting Record

ABB LTD, ZUERICH

Security:	H0010V101	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	30-Apr-2015
ISIN	CH0012221716	Vote Deadline Date:	21-Apr-2015
Agenda	705940713	Management	Total Ballot Shares: 18581
Last Vote Date:	13-Apr-2015		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE	None	None		Non Voting		
2	APPROVAL OF THE ANNUAL REPORT, THE CONSOLIDATED FINANCIAL STATEMENTS AND THE ANNUAL FINANCIAL STATEMENTS FOR 2014	For	None	18581	0	0	0
3	CONSULTATIVE VOTE ON THE 2014 COMPENSATION REPORT	For	None	18581	0	0	0
4	DISCHARGE OF THE BOARD OF DIRECTORS AND THE PERSONS ENTRUSTED WITH MANAGEMENT	For	None	18581	0	0	0
5	APPROPRIATION OF AVAILABLE EARNINGS AND DISTRIBUTION OF CAPITAL CONTRIBUTION RESERVE: DIVIDENDS OF CHF 0.55 PER SHARE	For	None	18581	0	0	0
6	CAPITAL REDUCTION THROUGH NOMINAL VALUE REPAYMENT	For	None	18581	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
7	AMENDMENT TO THE ARTICLES OF INCORPORATION RELATED TO THE CAPITAL REDUCTION: ARTICLE 13 PARA. 1	For	None	18581	0	0	0
8	RENEWAL OF AUTHORIZED SHARE CAPITAL	For	None	18581	0	0	0
9	BINDING VOTE ON THE TOTAL COMPENSATION OF THE MEMBERS OF THE BOARD OF DIRECTORS FOR THE NEXT TERM OF OFFICE, I.E. FROM THE 2015 ANNUAL GENERAL MEETING TO THE 2016 ANNUAL GENERAL MEETING	For	None	18581	0	0	0
10	BINDING VOTE ON THE TOTAL COMPENSATION OF THE MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE FOLLOWING FINANCIAL YEAR, I.E. 2016	For	None	18581	0	0	0
11	RE-ELECTION OF ROGER AGNELLI AS MEMBER OF THE BOARD OF DIRECTORS	For	None	18581	0	0	0
12	RE-ELECTION OF MATTI ALAHUHTA AS MEMBER OF THE BOARD OF DIRECTORS	For	None	18581	0	0	0
13	ELECTION OF DAVID CONSTABLE AS MEMBER OF THE BOARD OF DIRECTORS	For	None	18581	0	0	0
14	RE-ELECTION OF LOUIS R. HUGHES AS MEMBER OF THE BOARD OF DIRECTORS	For	None	18581	0	0	0
15	RE-ELECTION OF MICHEL DE ROSEN AS MEMBER OF THE BOARD OF DIRECTORS	For	None	18581	0	0	0
16	RE-ELECTION OF JACOB WALLENBERG AS MEMBER OF THE BOARD OF DIRECTORS	For	None	18581	0	0	0
17	RE-ELECTION OF YING YEH AS MEMBER OF THE BOARD OF DIRECTORS	For	None	18581	0	0	0
18	ELECTION OF PETER VOSER AS MEMBER AND CHAIRMAN OF THE BOARD OF DIRECTORS	For	None	18581	0	0	0
19	ELECTIONS TO THE COMPENSATION COMMITTEE: DAVID CONSTABLE	For	None	18581	0	0	0
20	ELECTIONS TO THE COMPENSATION COMMITTEE: MICHEL DE ROSEN	For	None	18581	0	0	0
21	ELECTIONS TO THE COMPENSATION COMMITTEE: YING YEH	For	None	18581	0	0	0
22	RE-ELECTION OF THE INDEPENDENT PROXY: DR. HANS ZEHNDER, ATTORNEY-AT-LAW AND NOTARY, BAHNHOFPLATZ 1, 5401 BADEN, SWITZERLAND	For	None	18581	0	0	0
23	THE BOARD OF DIRECTORS PROPOSES THAT ERNST & YOUNG AG BE RE-ELECTED AS AUDITORS FOR FISCAL YEAR 2015	For	None	18581	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
24	31 MAR 2015: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE TEXT OF RESOLUTIONS 8.2 TO 8.7. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	None	None		Non Voting		

Proxy Voting Record

BASF SE, LUDWIGSHAFEN/RHEIN

Security:	D06216317	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	30-Apr-2015
ISIN	DE000BASF111	Vote Deadline Date:	16-Apr-2015
Agenda	705911483	Total Ballot Shares:	4455
Last Vote Date:	03-Apr-2015		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Please note that by judgement of OLG Cologne rendered on June 6, 2012, any shareholder who holds an aggregate total of 3 percent or more of the outstanding share capital must register under their beneficial owner details before the appropriate deadline to be able to vote. Failure to comply with the declaration requirements as stipulated in section 21 of the Securities Trade Act (WpHG) may prevent the shareholder from voting at the general meetings. Therefore, your custodian may request that we register beneficial owner data for all voted accounts with the respective sub custodian. If you require further information whether or not such BO registration will be conducted for your custodians accounts, please contact your CSR.	None	None				Non Voting
2	The sub-custodian banks optimized their processes and established solutions, which do not require share blocking. Registered shares will be deregistered according to trading activities or at the deregistration date by the sub custodians. In order to deliver/settle a voted position before the deregistration date a voting instruction cancellation and de-registration request needs to be sent. Please contact your CSR for further information.	None	None				Non Voting
3	The Vote/Registration Deadline as displayed on ProxyEdge is subject to change and will be updated as soon as Broadridge receives confirmation from the sub custodians regarding their instruction deadline. For any queries please contact your Client Services Representative.	None	None				Non Voting
4	ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS NOTIFICATIONS PURSUANT TO THE GERMAN	None	None				Non Voting

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	SECURITIES TRADING ACT (WHPG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU.						
5	COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 15.04.2015. FURTHER INFORMATION ON COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT ON PROXYEDGE.	None	None				Non Voting
6	Presentation of the adopted Financial Statements of BASF SE and the approved Consolidated Financial Statements of the BASF Group for the financial year 2014; presentation of the Management's Reports of BASF SE and the BASF Group for the financial year 2014 including the explanatory reports on the data according to Section 289 (4) and Section 315 (4) of the German Commercial Code; presentation of the Report of the Supervisory Board	None	None				Non Voting
7	Adoption of a resolution on the appropriation of profit	For	None	4141	0	0	0
8	Adoption of a resolution giving formal approval to the actions of the members of the Supervisory Board	For	None	4141	0	0	0
9	Adoption of a resolution giving formal approval to the actions of the members of the Board of Executive Directors	For	None	4141	0	0	0
10	Election of the auditor for the financial year 2015: KPMG AG	For	None	4141	0	0	0

Proxy Voting Record

BG GROUP PLC, READING BERKSHIRE

Security:	G1245Z108		Meeting Type:	Annual General Meeting
Ticker:			Meeting Date:	05-May-2015
ISIN	GB0008762899		Vote Deadline Date:	21-Apr-2015
Agenda	705954697	Management	Total Ballot Shares:	18503
Last Vote Date:	15-Apr-2015			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	ANNUAL REPORT AND ACCOUNTS	For	None	17079	0	0	0
2	REMUNERATION REPORT	For	None	0	17079	0	0
3	DECLARATION OF DIVIDEND	For	None	17079	0	0	0
4	ELECTION OF HELGE LUND	For	None	17079	0	0	0
5	RE-ELECTION OF VIVIENNE COX	For	None	17079	0	0	0
6	RE-ELECTION OF PAM DALEY	For	None	17079	0	0	0
7	RE-ELECTION OF MARTIN FERGUSON	For	None	17079	0	0	0
8	RE-ELECTION OF ANDREW GOULD	For	None	17079	0	0	0
9	RE-ELECTION OF BARONESS HOGG	For	None	17079	0	0	0
10	RE-ELECTION OF SIR JOHN HOOD	For	None	17079	0	0	0
11	RE-ELECTION OF CAIO KOCH-WESER	For	None	17079	0	0	0
12	RE-ELECTION OF LIM HAW-KUANG	For	None	17079	0	0	0
13	RE-ELECTION OF SIMON LOWTH	For	None	17079	0	0	0
14	RE-ELECTION OF SIR DAVID MANNING	For	None	17079	0	0	0
15	RE-ELECTION OF MARK SELIGMAN	For	None	17079	0	0	0
16	RE-ELECTION OF PATRICK THOMAS	For	None	17079	0	0	0
17	RE-APPOINTMENT OF AUDITORS	For	None	17079	0	0	0
18	REMUNERATION OF AUDITORS	For	None	17079	0	0	0
19	POLITICAL DONATIONS	For	None	17079	0	0	0
20	AUTHORITY TO ALLOT SHARES	For	None	17079	0	0	0
21	DISAPPLICATION OF PRE-EMPTION RIGHTS	For	None	17079	0	0	0
22	AUTHORITY TO MAKE MARKET PURCHASES OF OWN SHARES	For	None	17079	0	0	0
23	NOTICE PERIODS FOR GENERAL MEETINGS	For	None	0	17079	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
24	06 APR 2015: PLEASE NOTE THAT THE MEETING TYPE WAS CHANGED FROM OGM TO AGM. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	None	None		Non Voting		

Proxy Voting Record

SAP SE, WALLDORF/BADEN

Security: D66992104	Meeting Type: Annual General Meeting
Ticker:	Meeting Date: 20-May-2015
ISIN: DE0007164600	Vote Deadline Date: 06-May-2015
Agenda: 706005976 Management	Total Ballot Shares: 13755
Last Vote Date: 28-Apr-2015	

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS NOTIFICATIONS PURSUANT TO THE GERMAN SECURITIES TRADING ACT (WHPG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU.	None	None		Non Voting		
2	PLEASE NOTE THAT THE TRUE RECORD DATE FOR THIS MEETING IS 29 APRIL 2015, WHEREAS THE MEETING HAS BEEN SETUP USING THE ACTUAL RECORD DATE-1 BUSINESS DAY. THIS IS DONE TO ENSURE THAT ALL POSITIONS REPORTED ARE IN CONCURRENCE WITH THE GERMAN LAW. THANK YOU.	None	None		Non Voting		
3	COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 05 MAY 2015. FURTHER INFORMATION ON COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT ON PROXYEDGE.	None	None		Non Voting		

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
4	PRESENTATION OF THE ADOPTED ANNUAL FINANCIAL STATEMENTS AND THE APPROVED GROUP ANNUAL FINANCIAL STATEMENTS, THE COMBINED MANAGEMENT REPORT AND GROUP MANAGEMENT REPORT OF SAP SE, INCLUDING THE EXECUTIVE BOARD'S EXPLANATORY NOTES RELATING TO THE INFORMATION PROVIDED PURSUANT TO SECTIONS 289 (4) AND (5) AND 315 (4) OF THE GERMAN COMMERCIAL CODE (HANDELSGESETZBUCH; "HGB"), AND THE SUPERVISORY BOARD'S REPORT, EACH FOR FISCAL YEAR 2014	None	None			Non Voting	
5	RESOLUTION ON THE APPROPRIATION OF THE RETAINED EARNINGS OF FISCAL YEAR 2014: DIVIDENDS OF EUR 1.10 PER SHARE	For	None	5504	0	0	0
6	RESOLUTION ON THE FORMAL APPROVAL OF THE ACTS OF THE EXECUTIVE BOARD IN FISCAL YEAR 2014	For	None	5504	0	0	0
7	RESOLUTION ON THE FORMAL APPROVAL OF THE ACTS OF THE SUPERVISORY BOARD IN FISCAL YEAR 2014	For	None	0	5504	0	0
8	APPOINTMENT OF THE AUDITORS OF THE FINANCIAL STATEMENTS AND GROUP ANNUAL FINANCIAL STATEMENTS FOR FISCAL YEAR 2015: KPMG AG	For	None	5504	0	0	0
9	RESOLUTION ON THE CANCELLATION OF THE EXISTING AUTHORIZED CAPITAL I AND THE CREATION OF NEW AUTHORIZED CAPITAL I FOR THE ISSUANCE OF SHARES AGAINST CONTRIBUTIONS IN CASH, WITH THE OPTION TO EXCLUDE THE SHAREHOLDERS' SUBSCRIPTION RIGHTS (IN RESPECT OF FRACTIONAL SHARES ONLY), AND ON THE CORRESPONDING AMENDMENT OF SECTION 4 (5) OF THE ARTICLES OF INCORPORATION	For	None	5504	0	0	0
10	RESOLUTION ON THE CANCELLATION OF THE EXISTING AUTHORIZED CAPITAL II AND THE CREATION OF NEW AUTHORIZED CAPITAL II FOR THE ISSUANCE OF SHARES AGAINST CONTRIBUTIONS IN CASH OR IN KIND, WITH THE OPTION TO EXCLUDE THE SHAREHOLDERS' SUBSCRIPTION RIGHTS, AND ON THE CORRESPONDING AMENDMENT OF SECTION 4 (6) OF THE ARTICLES OF INCORPORATION	For	None	5504	0	0	0

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
11	RESOLUTION ON THE AMENDMENT OF THE SUPERVISORY BOARD REMUNERATION AND THE CORRESPONDING AMENDMENT OF SECTION 16 OF THE ARTICLES OF INCORPORATION	For	None	5504	0	0	0

Proxy Voting Record

BAYER AG, LEVERKUSEN

Security:	D0712D163	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	27-May-2015
ISIN	DE000BAY0017	Vote Deadline Date:	13-May-2015
Agenda	705949343	Management	Total Ballot Shares: 18226
Last Vote Date:	06-May-2015		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Please note that by judgement of OLG Cologne rendered on June 6, 2012, any shareholder who holds an aggregate total of 3 percent or more of the outstanding share capital must register under their beneficial owner details before the appropriate deadline to be able to vote. Failure to comply with the declaration requirements as stipulated in section 21 of the Securities Trade Act (WpHG) may prevent the shareholder from voting at the general meetings. Therefore, your custodian may request that we register beneficial owner data for all voted accounts with the respective sub custodian. If you require further information whether or not such BO registration will be conducted for your custodians accounts, please contact your CSR.	None	None				Non Voting
2	The sub-custodian banks optimized their processes and established solutions, which do not require share blocking. Registered shares will be deregistered according to trading activities or at the deregistration date by the sub custodians. In order to deliver/settle a voted position before the deregistration date a voting instruction cancellation and de-registration request needs to be sent. Please contact your CSR for further information.	None	None				Non Voting
3	The Vote/Registration Deadline as displayed on ProxyEdge is subject to change and will be updated as soon as Broadridge receives confirmation from the sub custodians regarding their instruction deadline. For any queries please contact your Client Services Representative.	None	None				Non Voting
4	ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS NOTIFICATIONS PURSUANT TO THE GERMAN	None	None				Non Voting

Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	SECURITIES TRADING ACT (WHPG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU.						
5	COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 12.05.2015. FURTHER INFORMATION ON COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT ON PROXYEDGE.	None	None		Non Voting		
6	Presentation of the adopted annual financial statements 3 and the approved consolidated financial statements, the Combined Management Report, the report of the Supervisory Board, the explanatory report by the Board of Management on takeover-related information, and the proposal by the Board of Management on the use of the distributable profit for the fiscal year 2014, and resolution on the use of the distributable profit	For	None	3971	0	0	0
7	Ratification of the actions of the members of the Board of Management	For	None	3971	0	0	0
8	Ratification of the actions of the members of the Supervisory Board	For	None	3971	0	0	0
9	Supervisory Board election: Prof. Dr. Dr. h.c. mult. Otmar D. Wiestler	For	None	3971	0	0	0
10	Amendment of the Object of the Company (Section 2, Paragraph 1 of the Articles of Incorporation)	For	None	3971	0	0	0
11	Election of the auditor of the financial statements and for the review of the half-yearly financial report: PricewaterhouseCoopers Aktiengesellschaft	For	None	3971	0	0	0

Proxy Voting Record

NTT DOCOMO, INC.

Security:	J59399121	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	18-Jun-2015
ISIN	JP3165650007	Vote Deadline Date:	16-Jun-2015
Agenda	706198149	Management	Total Ballot Shares: 15600
Last Vote Date:	28-May-2015		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Please reference meeting materials.	None	None		Non Voting		
2	Approve Appropriation of Surplus	For	None	15600	0	0	0
3	Amend Articles to: Adopt Reduction of Liability System for Non-Executive Directors and Corporate Auditors	For	None	15600	0	0	0
4	Appoint a Corporate Auditor Shiotsuka, Naoto	For	None	15600	0	0	0
5	Appoint a Corporate Auditor Okihara, Toshimune	For	None	0	15600	0	0
6	Appoint a Corporate Auditor Kawataki, Yutaka	For	None	15600	0	0	0
7	Appoint a Corporate Auditor Tsujiyama, Eiko	For	None	15600	0	0	0

Proxy Voting Record

SHIN-ETSU CHEMICAL CO.,LTD.

Security:	J72810120		Meeting Type:	Annual General Meeting
Ticker:			Meeting Date:	26-Jun-2015
ISIN	JP3371200001		Vote Deadline Date:	12-Jun-2015
Agenda	706238993	Management	Total Ballot Shares:	5200
Last Vote Date:	10-Jun-2015			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Approve Appropriation of Surplus	For	None	4800	0	0	0
2	Appoint a Director Saito, Yasuhiko	For	None	4800	0	0	0
3	Appoint a Director Ishihara, Toshinobu	For	None	4800	0	0	0
4	Appoint a Director Takasugi, Koji	For	None	4800	0	0	0
5	Appoint a Director Matsui, Yukihiko	For	None	4800	0	0	0
6	Appoint a Director Frank Peter Popoff	For	None	0	4800	0	0
7	Appoint a Director Miyazaki, Tsuyoshi	For	None	4800	0	0	0
8	Appoint a Director Fukui, Toshihiko	For	None	4800	0	0	0
9	Appoint a Director Miyajima, Masaki	For	None	4800	0	0	0
10	Appoint a Director Kasahara, Toshiyuki	For	None	4800	0	0	0
11	Appoint a Director Onezawa, Hidenori	For	None	4800	0	0	0
12	Appoint a Director Ueno, Susumu	For	None	4800	0	0	0
13	Appoint a Director Maruyama, Kazumasa	For	None	4800	0	0	0
14	Appoint a Corporate Auditor Okada, Osamu	For	None	4800	0	0	0
15	Appoint a Corporate Auditor Nagano, Kiyoshi	For	None	4800	0	0	0
16	Appoint a Corporate Auditor Okamoto, Hiroaki	For	None	0	4800	0	0
17	Approve Issuance of Share Acquisition Rights as Stock Options for Executives	For	None	4800	0	0	0
18	Approve Continuance of Policy regarding Large-scale Purchases of Company Shares	For	None	0	4800	0	0