

# Proxy Voting Record

Meeting Date Range: 01-Jul-2016 To 30-Jun-2017

Selected Accounts

## SINGAPORE TELECOMMUNICATIONS LTD, SINGAPORE

Security:	Y79985209	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	29-Jul-2016
ISIN	SG1T75931496	Vote Deadline Date:	22-Jul-2016
Agenda	707218435	Management	Total Ballot Shares: 148900
Last Vote Date:	11-Jul-2016		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	TO RECEIVE AND ADOPT THE DIRECTORS' STATEMENT AND AUDITED FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR ENDED 31 MARCH 2016 AND THE AUDITOR'S REPORT THEREON	For	None	148900	0	0	0
2	TO DECLARE A FINAL DIVIDEND OF 10.7 CENTS PER SHARE IN RESPECT OF THE FINANCIAL YEAR ENDED 31 MARCH 2016	For	None	148900	0	0	0
3	TO RE-ELECT THE FOLLOWING DIRECTOR WHO RETIRE BY ROTATION IN ACCORDANCE WITH ARTICLE 97 OF THE CONSTITUTION OF THE COMPANY AND WHO, BEING ELIGIBLE, OFFER HERSELF FOR RE-ELECTION: MS CHRISTINA HON KWEE FONG (MRS CHRISTINA ONG) (INDEPENDENT MEMBER OF THE AUDIT COMMITTEE)	For	None	148900	0	0	0
4	TO RE-ELECT THE FOLLOWING DIRECTOR WHO RETIRE BY ROTATION IN ACCORDANCE WITH ARTICLE 97 OF THE CONSTITUTION OF THE COMPANY AND WHO, BEING ELIGIBLE, OFFER HIMSELF FOR RE-ELECTION: MR SIMON CLAUDE ISRAEL	For	None	148900	0	0	0
5	TO RE-ELECT THE FOLLOWING DIRECTOR WHO RETIRE BY ROTATION IN ACCORDANCE WITH ARTICLE 97 OF THE CONSTITUTION OF THE COMPANY AND WHO, BEING ELIGIBLE, OFFER HIMSELF FOR RE-ELECTION: MR PETER EDWARD MASON AM	For	None	148900	0	0	0

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Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
6	TO APPROVE PAYMENT OF DIRECTORS' FEES BY THE COMPANY OF UP TO SGD 2,950,000 FOR THE FINANCIAL YEAR ENDING 31 MARCH 2017 (2016: UP TO SGD 2,950,000; INCREASE: NIL)	For	None	148900	0	0	0
7	TO RE-APPOINT THE AUDITOR AND TO AUTHORISE THE DIRECTORS TO FIX ITS REMUNERATION	For	None	148900	0	0	0
8	THAT AUTHORITY BE AND IS HEREBY GIVEN TO THE DIRECTORS TO: (I) (1) ISSUE SHARES OF THE COMPANY ("SHARES") WHETHER BY WAY OF RIGHTS, BONUS OR OTHERWISE; AND/OR (2) MAKE OR GRANT OFFERS, AGREEMENTS OR OPTIONS (COLLECTIVELY, "INSTRUMENTS") THAT MIGHT OR WOULD REQUIRE SHARES TO BE ISSUED, INCLUDING BUT NOT LIMITED TO THE CREATION AND ISSUE OF (AS WELL AS ADJUSTMENTS TO) WARRANTS, DEBENTURES OR OTHER INSTRUMENTS CONVERTIBLE INTO SHARES, AT ANY TIME AND UPON SUCH TERMS AND CONDITIONS AND FOR SUCH PURPOSES AND TO SUCH PERSONS AS THE DIRECTORS MAY IN THEIR ABSOLUTE DISCRETION DEEM FIT; AND (II) (NOTWITHSTANDING THE AUTHORITY CONFERRED BY THIS RESOLUTION MAY HAVE CEASED TO BE IN FORCE) ISSUE SHARES IN PURSUANCE OF ANY INSTRUMENT MADE OR GRANTED BY THE DIRECTORS WHILE THIS RESOLUTION WAS IN FORCE, PROVIDED THAT: (I) THE AGGREGATE NUMBER OF SHARES TO BE ISSUED PURSUANT TO THIS RESOLUTION (INCLUDING SHARES TO BE ISSUED IN PURSUANCE OF INSTRUMENTS MADE OR GRANTED PURSUANT TO THIS RESOLUTION) DOES NOT EXCEED 50% OF THE TOTAL NUMBER OF ISSUED SHARES (EXCLUDING TREASURY SHARES) OF THE COMPANY (AS CALCULATED IN ACCORDANCE WITH SUB-PARAGRAPH (II) BELOW), OF WHICH THE AGGREGATE NUMBER OF SHARES TO BE ISSUED OTHER THAN ON A PRO RATA BASIS TO SHAREHOLDERS OF THE COMPANY (INCLUDING SHARES TO BE ISSUED IN	For	None	148900	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	<p>PURSUANCE OF INSTRUMENTS MADE OR GRANTED PURSUANT TO THIS RESOLUTION) DOES NOT EXCEED 5% OF THE TOTAL NUMBER OF ISSUED SHARES (EXCLUDING TREASURY SHARES) OF THE COMPANY (AS CALCULATED IN ACCORDANCE WITH SUB-PARAGRAPH (II) BELOW); (II) (SUBJECT TO SUCH MANNER OF CALCULATION AS MAY BE PRESCRIBED BY THE SINGAPORE EXCHANGE SECURITIES TRADING LIMITED ("SGX-ST")) FOR THE PURPOSE OF DETERMINING THE AGGREGATE NUMBER OF SHARES THAT MAY BE ISSUED UNDER SUB-PARAGRAPH (I) ABOVE, THE PERCENTAGE OF ISSUED SHARES SHALL BE BASED ON THE TOTAL NUMBER OF ISSUED SHARES (EXCLUDING TREASURY SHARES) OF THE COMPANY AT THE TIME THIS RESOLUTION IS PASSED, AFTER ADJUSTING FOR: (A) NEW SHARES ARISING FROM THE CONVERSION OR EXERCISE OF ANY CONVERTIBLE SECURITIES OR SHARE OPTIONS OR VESTING OF SHARE AWARDS WHICH ARE OUTSTANDING OR SUBSISTING AT THE TIME THIS RESOLUTION IS PASSED; AND (B) ANY SUBSEQUENT BONUS ISSUE OR CONSOLIDATION OR SUBDIVISION OF SHARES; (III) IN EXERCISING THE AUTHORITY CONFERRED BY THIS RESOLUTION, THE COMPANY SHALL COMPLY WITH THE PROVISIONS OF THE LISTING MANUAL OF THE SGX-ST AND THE RULES OF ANY OTHER STOCK EXCHANGE ON WHICH THE SHARES OF THE COMPANY MAY FOR THE TIME BEING BE LISTED OR QUOTED ("OTHER EXCHANGE") FOR THE TIME BEING IN FORCE (UNLESS SUCH COMPLIANCE HAS BEEN WAIVED BY THE SGX-ST OR, AS THE CASE MAY BE, THE OTHER EXCHANGE) AND THE CONSTITUTION FOR THE TIME BEING OF THE COMPANY; AND (IV) (UNLESS REVOKED OR VARIED BY THE COMPANY IN GENERAL MEETING) THE AUTHORITY CONFERRED BY</p>						

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	THIS RESOLUTION SHALL CONTINUE IN FORCE UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY OR THE DATE BY WHICH THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY IS REQUIRED BY LAW TO BE HELD, WHICHEVER IS THE EARLIER						
9	<p>THAT APPROVAL BE AND IS HEREBY GIVEN TO THE DIRECTORS TO GRANT AWARDS IN ACCORDANCE WITH THE PROVISIONS OF THE SINGTEL PERFORMANCE SHARE PLAN 2012 ("SINGTEL PSP 2012") AND TO ALLOT AND ISSUE FROM TIME TO TIME SUCH NUMBER OF FULLY PAID-UP ORDINARY SHARES AS MAY BE REQUIRED TO BE DELIVERED PURSUANT TO THE VESTING OF AWARDS UNDER THE SINGTEL PSP 2012, PROVIDED THAT: (I) THE AGGREGATE NUMBER OF NEW ORDINARY SHARES TO BE ISSUED PURSUANT TO THE VESTING OF AWARDS GRANTED OR TO BE GRANTED UNDER THE SINGTEL PSP 2012 SHALL NOT EXCEED 5% OF THE TOTAL NUMBER OF ISSUED ORDINARY SHARES (EXCLUDING TREASURY SHARES) FROM TIME TO TIME; AND (II) THE AGGREGATE NUMBER OF NEW ORDINARY SHARES UNDER AWARDS TO BE GRANTED PURSUANT TO THE SINGTEL PSP 2012 DURING THE PERIOD COMMENCING FROM THE DATE OF THIS ANNUAL GENERAL MEETING OF THE COMPANY AND ENDING ON THE DATE OF THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY OR THE DATE BY WHICH THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY IS REQUIRED BY LAW TO BE HELD, WHICHEVER IS THE EARLIER, SHALL NOT EXCEED 0.5% OF THE TOTAL NUMBER OF ISSUED ORDINARY SHARES (EXCLUDING TREASURY SHARES) FROM TIME TO TIME</p>	For	None	148900	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
10	<p>THAT: (I) FOR THE PURPOSES OF SECTIONS 76C AND 76E OF THE COMPANIES ACT, CHAPTER 50 (THE "COMPANIES ACT"), THE EXERCISE BY THE DIRECTORS OF ALL THE POWERS OF THE COMPANY TO PURCHASE OR OTHERWISE ACQUIRE ISSUED ORDINARY SHARES OF THE COMPANY ("SHARES") NOT EXCEEDING IN AGGREGATE THE MAXIMUM LIMIT (AS HEREAFTER DEFINED), AT SUCH PRICE OR PRICES AS MAY BE DETERMINED BY THE DIRECTORS FROM TIME TO TIME UP TO THE MAXIMUM PRICE (AS HEREAFTER DEFINED), WHETHER BY WAY OF: (1) MARKET PURCHASE(S) ON THE SGX-ST AND/OR ANY OTHER STOCK EXCHANGE ON WHICH THE SHARES MAY FOR THE TIME BEING BE LISTED AND QUOTED ("OTHER EXCHANGE"); AND/OR (2) OFF-MARKET PURCHASE(S) (IF EFFECTED OTHERWISE THAN ON THE SGX-ST OR, AS THE CASE MAY BE, OTHER EXCHANGE) IN ACCORDANCE WITH ANY EQUAL ACCESS SCHEME(S) AS MAY BE DETERMINED OR FORMULATED BY THE DIRECTORS AS THEY CONSIDER FIT, WHICH SCHEME(S) SHALL SATISFY ALL THE CONDITIONS PRESCRIBED BY THE COMPANIES ACT, AND OTHERWISE IN ACCORDANCE WITH ALL OTHER LAWS AND REGULATIONS AND RULES OF THE SGX-ST OR, AS THE CASE MAY BE, OTHER EXCHANGE AS MAY FOR THE TIME BEING BE APPLICABLE, BE AND IS HEREBY AUTHORISED AND APPROVED GENERALLY AND UNCONDITIONALLY (THE "SHARE PURCHASE MANDATE"); (II) UNLESS VARIED OR REVOKED BY THE COMPANY IN GENERAL MEETING, THE AUTHORITY CONFERRED ON THE DIRECTORS OF THE COMPANY PURSUANT TO THE SHARE PURCHASE MANDATE MAY BE EXERCISED BY THE DIRECTORS AT ANY TIME AND FROM TIME TO TIME DURING THE PERIOD COMMENCING FROM THE DATE OF THE PASSING OF THIS RESOLUTION AND EXPIRING ON THE EARLIEST OF: (1) THE DATE ON WHICH THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY IS HELD; (2) THE DATE BY WHICH</p>	For	None	148900	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	<p>THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY IS REQUIRED BY LAW TO BE HELD; AND (3) THE DATE ON WHICH PURCHASES AND ACQUISITIONS OF SHARES PURSUANT TO THE SHARE PURCHASE MANDATE ARE CARRIED OUT TO THE FULL EXTENT MANDATED; (III) IN THIS RESOLUTION: "AVERAGE CLOSING PRICE" MEANS THE AVERAGE OF THE LAST DEALT PRICES OF A SHARE FOR THE FIVE CONSECUTIVE MARKET DAYS ON WHICH THE SHARES ARE TRANSACTED ON THE SGX-ST OR, AS THE CASE MAY BE, OTHER EXCHANGE IMMEDIATELY PRECEDING THE DATE OF MARKET PURCHASE BY THE COMPANY OR, AS THE CASE MAY BE, THE DATE OF THE MAKING OF THE OFFER PURSUANT TO THE OFF-MARKET PURCHASE, AND DEEMED TO BE ADJUSTED, IN ACCORDANCE WITH THE LISTING RULES OF THE SGX-ST, FOR ANY CORPORATE ACTION WHICH OCCURS AFTER THE RELEVANT FIVE-DAY PERIOD; "DATE OF THE MAKING OF THE OFFER" MEANS THE DATE ON WHICH THE COMPANY MAKES AN OFFER FOR THE PURCHASE OR ACQUISITION OF SHARES FROM HOLDERS OF SHARES, STATING THEREIN THE RELEVANT TERMS OF THE EQUAL ACCESS SCHEME FOR EFFECTING THE OFF-MARKET PURCHASE; "MAXIMUM LIMIT" MEANS THAT NUMBER OF ISSUED SHARES REPRESENTING 5% OF THE TOTAL NUMBER OF ISSUED SHARES AS AT THE DATE OF THE PASSING OF THIS RESOLUTION (EXCLUDING ANY SHARES WHICH ARE HELD AS TREASURY SHARES AS AT THAT DATE); AND "MAXIMUM PRICE" IN RELATION TO A SHARE TO BE PURCHASED OR ACQUIRED, MEANS THE PURCHASE PRICE (EXCLUDING BROKERAGE, COMMISSION, APPLICABLE GOODS AND SERVICES TAX AND OTHER RELATED EXPENSES) WHICH SHALL NOT EXCEED: (1) IN THE CASE OF A MARKET PURCHASE OF A SHARE, 105% OF THE AVERAGE CLOSING PRICE OF THE SHARES; AND (2) IN THE CASE OF AN OFF-MARKET PURCHASE OF A SHARE</p>						

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Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	<p>PURSUANT TO AN EQUAL ACCESS SCHEME, 110% OF THE AVERAGE CLOSING PRICE OF THE SHARES; AND (IV) THE DIRECTORS OF THE COMPANY AND/OR ANY OF THEM BE AND ARE HEREBY AUTHORISED TO COMPLETE AND DO ALL SUCH ACTS AND THINGS (INCLUDING EXECUTING SUCH DOCUMENTS AS MAY BE REQUIRED) AS THEY AND/ OR HE MAY CONSIDER EXPEDIENT OR NECESSARY OR IN THE INTERESTS OF THE COMPANY TO GIVE EFFECT TO THE TRANSACTIONS CONTEMPLATED AND/OR AUTHORISED BY THIS RESOLUTION</p>						
11	<p>THAT THE REGULATIONS CONTAINED IN THE NEW CONSTITUTION SUBMITTED TO THIS MEETING AND, FOR THE PURPOSE OF IDENTIFICATION, SUBSCRIBED TO BY THE CHAIRMAN THEREOF, BE APPROVED AND ADOPTED AS THE CONSTITUTION OF THE COMPANY IN SUBSTITUTION FOR, AND TO THE EXCLUSION OF, THE EXISTING CONSTITUTION</p>	For	None	148900	0	0	0

## Proxy Voting Record

### SINGAPORE TELECOMMUNICATIONS LTD, SINGAPORE

Security:	Y79985209		Meeting Type:	ExtraOrdinary General Meeting
Ticker:			Meeting Date:	14-Oct-2016
ISIN	SG1T75931496		Vote Deadline Date:	07-Oct-2016
Agenda	707420713	Management	Total Ballot Shares:	168800
Last Vote Date:	03-Oct-2016			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	APPROVAL FOR THE PROPOSED ACQUISITION OF SHARES IN INTOUCH HOLDINGS PUBLIC COMPANY LIMITED, THE PROPOSED ACQUISITION OF SHARES IN BHARTI TELECOM LIMITED AND THE PROPOSED PLACEMENT OF SHARES IN SINGAPORE TELECOMMUNICATIONS LIMITED	For	None	168800	0	0	0



## Proxy Voting Record

### NOVARTIS AG, BASEL

Security:	H5820Q150	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	28-Feb-2017
ISIN	CH0012005267	Vote Deadline Date:	22-Feb-2017
Agenda	707714007	Total Ballot Shares:	9307
Last Vote Date:	10-Feb-2017		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 723253 DUE TO ADDITION OF RESOLUTION B. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU	None	None		Non Voting		
2	PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE	None	None		Non Voting		
3	APPROVAL OF THE OPERATING AND FINANCIAL REVIEW OF NOVARTIS AG, THE FINANCIAL STATEMENTS OF NOVARTIS AG AND THE GROUP CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2016 FINANCIAL YEAR	For	None	9307	0	0	0
4	DISCHARGE FROM LIABILITY OF THE MEMBERS OF THE BOARD OF DIRECTORS AND THE EXECUTIVE COMMITTEE	For	None	9307	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
5	APPROPRIATION OF AVAILABLE EARNINGS OF NOVARTIS AG AS PER BALANCE SHEET AND DECLARATION OF DIVIDEND: GROSS DIVIDEND (BEFORE TAXES AND DUTIES) OF CHF 2.75 PER DIVIDEND BEARING SHARE OF CHF 0.50 NOMINAL VALUE	For	None	9307	0	0	0
6	REDUCTION OF SHARE CAPITAL	For	None	9307	0	0	0
7	BINDING VOTE ON TOTAL COMPENSATION FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING	For	None	9307	0	0	0
8	BINDING VOTE ON TOTAL COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE NEXT FINANCIAL YEAR, I.E. 2018	For	None	9307	0	0	0
9	ADVISORY VOTE ON THE 2016 COMPENSATION REPORT	For	None	9307	0	0	0
10	RE-ELECTION OF JOERG REINHARDT, PH.D., AND RE-ELECTION AS CHAIRMAN OF THE BOARD OF DIRECTORS (IN A SINGLE VOTE)	For	None	9307	0	0	0
11	RE-ELECTION OF NANCY C. ANDREWS, M.D., PH.D. AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
12	RE-ELECTION OF DIMITRI AZAR, M.D. AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
13	RE-ELECTION OF TON BUECHNER AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
14	RE-ELECTION OF SRIKANT DATAR, PH.D. AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
15	RE-ELECTION OF ELIZABETH DOHERTY AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
16	RE-ELECTION OF ANN FUDGE AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
17	RE-ELECTION OF PIERRE LANDOLT, PH.D. AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
18	RE-ELECTION OF ANDREAS VON PLANTA, PH.D. AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
19	RE-ELECTION OF CHARLES L. SAWYERS, M.D. AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
20	RE-ELECTION OF ENRICO VANNI, PH.D. AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
21	RE-ELECTION OF WILLIAM T. WINTERS AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
22	ELECTION OF FRANS VAN HOUTEN AS A MEMBER OF THE BOARD OF DIRECTORS	For	None	9307	0	0	0
23	RE-ELECTION OF SRIKANT DATAR, PH.D., AS MEMBER OF THE COMPENSATION COMMITTEE	For	None	9307	0	0	0
24	RE-ELECTION OF ANN FUDGE AS MEMBER OF THE COMPENSATION COMMITTEE	For	None	9307	0	0	0
25	RE-ELECTION OF ENRICO VANNI, PH.D., AS MEMBER OF THE COMPENSATION COMMITTEE	For	None	9307	0	0	0
26	RE-ELECTION OF WILLIAM T. WINTERS AS MEMBER OF THE COMPENSATION COMMITTEE	For	None	9307	0	0	0
27	RE-ELECTION OF THE STATUTORY AUDITOR: THE BOARD OF DIRECTORS PROPOSES THE RE-ELECTION OF PRICEWATERHOUSECOOPERS AG AS STATUTORY AUDITOR OF NOVARTIS AG FOR THE FINANCIAL YEAR STARTING ON JANUARY 1, 2017	For	None	9307	0	0	0
28	RE-ELECTION OF THE INDEPENDENT PROXY: THE BOARD OF DIRECTORS PROPOSES THE RE-ELECTION OF LIC. IUR. PETER ANDREAS ZAHN, ATTORNEY AT LAW, BASEL, AS INDEPENDENT PROXY OF NOVARTIS AG UNTIL THE END OF THE NEXT ANNUAL GENERAL MEETING	For	None	9307	0	0	0
29	IF ALTERNATIVE MOTIONS UNDER THE AGENDA ITEMS PUBLISHED IN THE NOTICE OF ANNUAL GENERAL MEETING AND/OR MOTIONS RELATING TO ADDITIONAL AGENDA ITEMS (ARTICLE 700 PARAGRAPH 3 OF THE SWISS CODE OF OBLIGATIONS) ARE PROPOSED AT THE ANNUAL GENERAL	For	None	0	9307	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	MEETING, I/WE INSTRUCT THE INDEPENDENT PROXY TO VOTE AS FOLLOWS: (YES = ACCORDING TO THE MOTION OF THE BOARD OF DIRECTORS, AGAINST = AGAINST ALTERNATIVE/ADDITIONAL MOTIONS, ABSTAIN = ABSTAIN FROM VOTING)						

## Proxy Voting Record

### NESTLE SA, CHAM UND VEVEY

Security:	H57312649	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	06-Apr-2017
ISIN	CH0038863350	Vote Deadline Date:	29-Mar-2017
Agenda	707814263	Total Ballot Shares:	15355
Last Vote Date:	15-Mar-2017		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE THAT A MARKER MAY BE PLACED ON YOUR SHARES TO ALLOW FOR RECONCILIATION AND RE-REGISTRATION FOLLOWING A TRADE. THEREFORE WHILST THIS DOES NOT PREVENT THE TRADING OF SHARES, ANY THAT ARE REGISTERED MUST BE FIRST DEREGISTERED IF REQUIRED FOR SETTLEMENT. DEREGISTRATION CAN AFFECT THE VOTING RIGHTS OF THOSE SHARES. IF YOU HAVE CONCERNS REGARDING YOUR ACCOUNTS, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE	None	None		Non Voting		
2	APPROVAL OF THE ANNUAL REVIEW, THE FINANCIAL STATEMENTS OF NESTLE S.A. AND THE CONSOLIDATED FINANCIAL STATEMENTS OF THE NESTLE GROUP FOR 2016	For	None	15355	0	0	0
3	ACCEPTANCE OF THE COMPENSATION REPORT 2016 (ADVISORY VOTE)	For	None	15355	0	0	0
4	DISCHARGE TO THE MEMBERS OF THE BOARD OF DIRECTORS AND OF THE MANAGEMENT	For	None	15355	0	0	0
5	APPROPRIATION OF PROFIT RESULTING FROM THE BALANCE SHEET OF NESTLE S.A. (PROPOSED DIVIDEND) FOR THE FINANCIAL YEAR 2016	For	None	15355	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
6	RE-ELECTION TO THE BOARD OF DIRECTORS: MR PAUL BULCKE	For	None	15355	0	0	0
7	RE-ELECTION TO THE BOARD OF DIRECTORS: MR ANDREAS KOOPMANN	For	None	15355	0	0	0
8	RE-ELECTION TO THE BOARD OF DIRECTORS: MR HENRI DE CASTRIES	For	None	15355	0	0	0
9	RE-ELECTION TO THE BOARD OF DIRECTORS: MR BEAT W. HESS	For	None	15355	0	0	0
10	RE-ELECTION TO THE BOARD OF DIRECTORS: MR RENATO FASSBIND	For	None	15355	0	0	0
11	RE-ELECTION TO THE BOARD OF DIRECTORS: MR STEVEN G. HOCH	For	None	15355	0	0	0
12	RE-ELECTION TO THE BOARD OF DIRECTORS: MS NAINA LAL KIDWAI	For	None	15355	0	0	0
13	RE-ELECTION TO THE BOARD OF DIRECTORS: MR JEAN-PIERRE ROTH	For	None	15355	0	0	0
14	RE-ELECTION TO THE BOARD OF DIRECTORS: MS ANN M. VENEMAN	For	None	15355	0	0	0
15	RE-ELECTION TO THE BOARD OF DIRECTORS: MS EVA CHENG	For	None	15355	0	0	0
16	RE-ELECTION TO THE BOARD OF DIRECTORS: MS RUTH K. ONIANG'O	For	None	15355	0	0	0
17	RE-ELECTION TO THE BOARD OF DIRECTORS: MR PATRICK AEBISCHER	For	None	15355	0	0	0
18	ELECTION TO THE BOARD OF DIRECTORS: MR ULF MARK SCHNEIDER	For	None	15355	0	0	0
19	ELECTION TO THE BOARD OF DIRECTORS: MS URSULA M. BURNS	For	None	15355	0	0	0
20	ELECTION OF THE CHAIRMAN OF THE BOARD OF DIRECTORS: MR PAUL BULCKE	For	None	15355	0	0	0
21	ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: MR BEAT W. HESS	For	None	15355	0	0	0
22	ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: MR ANDREAS KOOPMANN	For	None	15355	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
23	ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: MR JEAN-PIERRE ROTH	For	None	15355	0	0	0
24	ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: MR PATRICK AEBISCHER	For	None	15355	0	0	0
25	ELECTION OF THE STATUTORY AUDITORS: KPMG SA, GENEVA BRANCH	For	None	15355	0	0	0
26	ELECTION OF THE INDEPENDENT REPRESENTATIVE: HARTMANN DREYER, ATTORNEYS-AT-LAW	For	None	15355	0	0	0
27	APPROVAL OF THE COMPENSATION OF THE BOARD OF DIRECTORS	For	None	15355	0	0	0
28	APPROVAL OF THE COMPENSATION OF THE EXECUTIVE BOARD	For	None	15355	0	0	0
29	IN THE EVENT OF ANY YET UNKNOWN NEW OR MODIFIED PROPOSAL BY A SHAREHOLDER DURING THE GENERAL MEETING, I INSTRUCT THE INDEPENDENT REPRESENTATIVE TO VOTE AS FOLLOWS: (YES = VOTE IN FAVOUR OF ANY SUCH YET UNKNOWN PROPOSAL, NO = VOTE AGAINST ANY SUCH YET UNKNOWN PROPOSAL, ABSTAIN = ABSTAIN FROM VOTING) - THE BOARD OF DIRECTORS RECOMMENDS TO VOTE NO ON ANY SUCH YET UNKNOWN PROPOSAL	Against	None	0	0	15355	0
30	PLEASE FIND BELOW THE LINK FOR NESTLE IN SOCIETY CREATING SHARED VALUE AND MEETING OUR COMMITMENTS 2016: <a href="http://www.nestle.com/asset-library/documents/library/documents/corporate_social_responsibility/nestle-in-society-summary-report-2016-en.pdf">http://www.nestle.com/asset-library/documents/library/documents/corporate_social_responsibility/nestle-in-society-summary-report-2016-en.pdf</a>	None	None			Non Voting	

## Proxy Voting Record

### ASML HOLDING NV, VELDHOVEN

Security:	N07059202		Meeting Type:	Annual General Meeting
Ticker:			Meeting Date:	26-Apr-2017
ISIN	NL0010273215		Vote Deadline Date:	12-Apr-2017
Agenda	707844204	Management	Total Ballot Shares:	5187
Last Vote Date:	31-Mar-2017			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	OPENING	None	None		Non Voting		
2	OVERVIEW OF THE COMPANY'S BUSINESS, FINANCIAL SITUATION AND SUSTAINABILITY	None	None		Non Voting		
3	DISCUSSION OF THE IMPLEMENTATION OF THE REMUNERATION POLICY FOR THE BOARD OF MANAGEMENT	None	None		Non Voting		
4	PROPOSAL TO ADOPT THE FINANCIAL STATEMENTS OF THE COMPANY FOR THE FINANCIAL YEAR 2016, AS PREPARED IN ACCORDANCE WITH DUTCH LAW	For	None	5042	0	0	0
5	PROPOSAL TO DISCHARGE THE MEMBERS OF THE BOARD OF MANAGEMENT FROM LIABILITY FOR THEIR RESPONSIBILITIES IN THE FINANCIAL YEAR 2016	For	None	5042	0	0	0
6	PROPOSAL TO DISCHARGE THE MEMBERS OF THE SUPERVISORY BOARD FROM LIABILITY FOR THEIR RESPONSIBILITIES IN THE FINANCIAL YEAR 2016	For	None	5042	0	0	0
7	CLARIFICATION OF THE COMPANY'S RESERVES AND DIVIDEND POLICY	None	None		Non Voting		
8	PROPOSAL TO ADOPT A DIVIDEND OF EUR 1.20 PER ORDINARY SHARE	For	None	5042	0	0	0
9	PROPOSAL TO ADOPT THE REVISED REMUNERATION POLICY FOR THE BOARD OF MANAGEMENT	For	None	5042	0	0	0
10	PROPOSAL TO APPROVE THE NUMBER OF SHARES FOR THE BOARD OF MANAGEMENT	For	None	5042	0	0	0
11	PROPOSAL TO APPROVE THE NUMBER OF STOCK OPTIONS AND/OR SHARES FOR EMPLOYEES	For	None	5042	0	0	0



## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
12	DISCUSS MANAGEMENT BOARD COMPOSITION AND RECEIVE INFORMATION ON INTENDED APPOINTMENT OF FIRST VAN HOUT TO MANAGEMENT BOARD	None	None			Non Voting	
13	COMPOSITION OF THE SUPERVISORY BOARD : PROPOSAL TO REAPPOINT MS. P.F.M. (PAULINE) VAN DER MEER MOHR AS MEMBER OF THE SUPERVISORY BOARD	For	None	5042	0	0	0
14	COMPOSITION OF THE SUPERVISORY BOARD : PROPOSAL TO REAPPOINT MS. C.M.S. (CARLA) SMITS-NUSTELING AS MEMBER OF THE SUPERVISORY BOARD	For	None	5042	0	0	0
15	COMPOSITION OF THE SUPERVISORY BOARD : PROPOSAL TO REAPPOINT MR. D.A. (DOUG) GROSE AS MEMBER OF THE SUPERVISORY BOARD	For	None	5042	0	0	0
16	COMPOSITION OF THE SUPERVISORY BOARD : PROPOSAL TO REAPPOINT MR. W.H. (WOLFGANG) ZIEBART AS MEMBER OF THE SUPERVISORY BOARD	For	None	5042	0	0	0
17	COMPOSITION OF THE SUPERVISORY BOARD : COMPOSITION OF THE SUPERVISORY BOARD IN 2018	None	None			Non Voting	
18	PROPOSAL TO ADJUST THE REMUNERATION OF THE SUPERVISORY BOARD	For	None	5042	0	0	0
19	PROPOSAL TO APPOINT KPMG ACCOUNTANTS N.V. AS EXTERNAL AUDITOR FOR THE REPORTING YEAR 2018	For	None	5042	0	0	0
20	PROPOSALS TO AUTHORIZE THE BOARD OF MANAGEMENT TO ISSUE ORDINARY SHARES OR GRANT RIGHTS TO SUBSCRIBE FOR ORDINARY SHARES, AS WELL AS TO RESTRICT OR EXCLUDE THE PRE-EMPTION RIGHTS ACCRUING TO SHAREHOLDERS : AUTHORIZATION TO ISSUE ORDINARY SHARES OR GRANT RIGHTS TO SUBSCRIBE FOR ORDINARY SHARES (5%)	For	None	5042	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
21	PROPOSALS TO AUTHORIZE THE BOARD OF MANAGEMENT TO ISSUE ORDINARY SHARES OR GRANT RIGHTS TO SUBSCRIBE FOR ORDINARY SHARES, AS WELL AS TO RESTRICT OR EXCLUDE THE PRE-EMPTION RIGHTS ACCRUING TO SHAREHOLDERS : AUTHORIZATION TO RESTRICT OR EXCLUDE PRE-EMPTION RIGHTS WITH REGARDS TO 16A	For	None	5042	0	0	0
22	PROPOSALS TO AUTHORIZE THE BOARD OF MANAGEMENT TO ISSUE ORDINARY SHARES OR GRANT RIGHTS TO SUBSCRIBE FOR ORDINARY SHARES, AS WELL AS TO RESTRICT OR EXCLUDE THE PRE-EMPTION RIGHTS ACCRUING TO SHAREHOLDERS : AUTHORIZATION TO ISSUE SHARES OR GRANT RIGHTS TO SUBSCRIBE FOR ORDINARY SHARES IN CONNECTION WITH OR ON THE OCCASION OF MERGERS, ACQUISITIONS AND/OR (STRATEGIC) ALLIANCES (5%)	For	None	5042	0	0	0
23	PROPOSALS TO AUTHORIZE THE BOARD OF MANAGEMENT TO ISSUE ORDINARY SHARES OR GRANT RIGHTS TO SUBSCRIBE FOR ORDINARY SHARES, AS WELL AS TO RESTRICT OR EXCLUDE THE PRE-EMPTION RIGHTS ACCRUING TO SHAREHOLDERS : AUTHORIZATION TO RESTRICT OR EXCLUDE PRE-EMPTION RIGHTS WITH REGARDS TO 16C	For	None	5042	0	0	0
24	PROPOSALS TO AUTHORIZE THE BOARD OF MANAGEMENT TO ACQUIRE ORDINARY SHARES : AUTHORIZATION TO REPURCHASE ORDINARY SHARES UP TO 10% OF THE ISSUED SHARE CAPITAL	For	None	5042	0	0	0
25	PROPOSALS TO AUTHORIZE THE BOARD OF MANAGEMENT TO ACQUIRE ORDINARY SHARES : AUTHORIZATION TO REPURCHASE ADDITIONAL ORDINARY SHARES UP TO 10% OF THE ISSUED SHARE CAPITAL	For	None	5042	0	0	0
26	PROPOSAL TO CANCEL ORDINARY SHARES	For	None	5042	0	0	0
27	ANY OTHER BUSINESS	None	None		Non Voting		
28	CLOSING	None	None		Non Voting		

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
29	20 MAR 2017: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE TEXT OF RESOLUTION 12. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	None	None			Non Voting	

## Proxy Voting Record

### UNILEVER NV, ROTTERDAM

Security:	N8981F271		Meeting Type:	Annual General Meeting
Ticker:			Meeting Date:	26-Apr-2017
ISIN	NL0000009355		Vote Deadline Date:	12-Apr-2017
Agenda	707843492	Management	Total Ballot Shares:	36933
Last Vote Date:	30-Mar-2017			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	CONSIDERATION OF THE ANNUAL REPORT AND ACCOUNTS FOR THE 2016 FINANCIAL YEAR	None	None			Non Voting	
2	TO ADOPT THE ANNUAL ACCOUNTS AND APPROPRIATION OF THE PROFIT FOR THE 2016 FINANCIAL YEAR: DURING 2016 EUR 4 MILLION WAS PAID AS DIVIDEND ON THE PREFERENCE SHARES AND EUR 1,973 MILLION WAS PAID AS DIVIDEND ON THE ORDINARY SHARES	For	None	17403	0	0	0
3	TO DISCHARGE THE EXECUTIVE DIRECTORS IN OFFICE IN THE 2016 FINANCIAL YEAR FOR THE FULFILMENT OF THEIR TASK	For	None	17403	0	0	0
4	TO DISCHARGE THE NON-EXECUTIVE DIRECTORS IN OFFICE IN THE 2016 FINANCIAL YEAR FOR THE FULFILMENT OF THEIR TASK	For	None	17403	0	0	0
5	TO APPROVE THE DIRECTORS REMUNERATION POLICY	For	None	17403	0	0	0
6	TO APPROVE THE UNILEVER SHARE PLAN 2017	For	None	17403	0	0	0
7	TO REAPPOINT MR N S ANDERSEN AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0
8	TO REAPPOINT MS L M CHA AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0
9	TO REAPPOINT MR V COLAO AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0
10	TO REAPPOINT DR M DEKKERS AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0
11	TO REAPPOINT MS A M FUDGE AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
12	TO REAPPOINT DR J HARTMANN AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0
13	TO REAPPOINT MS M MA AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0
14	TO REAPPOINT MR S MASIIWA AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0
15	TO REAPPOINT PROFESSOR Y MOON AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0
16	TO REAPPOINT MR G PITKETHLY AS AN EXECUTIVE DIRECTOR	For	None	17403	0	0	0
17	TO REAPPOINT MR P G J M POLMAN AS AN EXECUTIVE DIRECTOR	For	None	17403	0	0	0
18	TO REAPPOINT MR J RISHTON AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0
19	TO REAPPOINT MR F SIJBESMA AS A NON-EXECUTIVE DIRECTOR	For	None	17403	0	0	0
20	TO APPOINT KPMG AS THE AUDITOR CHARGED WITH THE AUDITING OF THE ANNUAL ACCOUNTS FOR THE 2017 FINANCIAL YEAR	For	None	17403	0	0	0
21	TO DESIGNATE THE BOARD OF DIRECTORS AS THE COMPANY BODY AUTHORISED TO ISSUE SHARES IN THE COMPANY	For	None	17403	0	0	0
22	TO AUTHORISE THE BOARD OF DIRECTORS TO PURCHASE SHARES AND DEPOSITARY RECEIPTS THEREOF IN THE SHARE CAPITAL OF THE COMPANY	For	None	17403	0	0	0
23	TO REDUCE THE CAPITAL WITH RESPECT TO SHARES AND DEPOSITARY RECEIPTS THEREOF HELD BY THE COMPANY IN ITS OWN SHARE CAPITAL	For	None	17403	0	0	0

## Proxy Voting Record

### ASTRAZENECA PLC, LONDON

Security:	G0593M107		Meeting Type:	Annual General Meeting
Ticker:			Meeting Date:	27-Apr-2017
ISIN	GB0009895292		Vote Deadline Date:	21-Apr-2017
Agenda	707847286	Management	Total Ballot Shares:	12102
Last Vote Date:	21-Mar-2017			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	TO RECEIVE THE COMPANY'S ACCOUNTS, THE REPORTS OF THE DIRECTORS AND AUDITOR AND THE STRATEGIC REPORT FOR THE YEAR ENDED 31 DECEMBER 2016	For	None	12102	0	0	0
2	TO CONFIRM DIVIDENDS: TO CONFIRM THE FIRST INTERIM DIVIDEND OF USD 0.90 (68.7 PENCE, SEK 7.81) PER ORDINARY SHARE AND TO CONFIRM AS THE FINAL DIVIDEND FOR 2016 THE SECOND INTERIM DIVIDEND OF USD 1.90 (150.2 PENCE, SEK 16.57) PER ORDINARY SHARE	For	None	12102	0	0	0
3	TO APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITOR	For	None	12102	0	0	0
4	TO AUTHORISE THE DIRECTORS TO AGREE THE REMUNERATION OF THE AUDITOR	For	None	12102	0	0	0
5	TO ELECT OR RE-ELECT THE FOLLOWING DIRECTOR: LEIF JOHANSSON	For	None	12102	0	0	0
6	TO ELECT OR RE-ELECT THE FOLLOWING DIRECTOR: PASCAL SORIOT	For	None	12102	0	0	0
7	TO ELECT OR RE-ELECT THE FOLLOWING DIRECTOR: MARC DUNOYER	For	None	12102	0	0	0
8	TO ELECT OR RE-ELECT THE FOLLOWING DIRECTOR: GENEVIEVE BERGER	For	None	12102	0	0	0
9	TO ELECT OR RE-ELECT THE FOLLOWING DIRECTOR: PHILIP BROADLEY	For	None	12102	0	0	0
10	TO ELECT OR RE-ELECT THE FOLLOWING DIRECTOR: BRUCE BURLINGTON	For	None	12102	0	0	0
11	TO ELECT OR RE-ELECT THE FOLLOWING DIRECTOR: GRAHAM CHIPCHASE	For	None	12102	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
12	TO ELECT OR RE-ELECT THE FOLLOWING DIRECTOR: RUDY MARKHAM	For	None	12102	0	0	0
13	TO ELECT OR RE-ELECT THE FOLLOWING DIRECTOR: SHRITI VADERA	For	None	12102	0	0	0
14	TO ELECT OR RE-ELECT THE FOLLOWING DIRECTOR: MARCUS WALLENBERG	For	None	12102	0	0	0
15	TO APPROVE THE ANNUAL REPORT ON REMUNERATION FOR THE YEAR ENDED 31 DECEMBER 2016	For	None	12102	0	0	0
16	TO APPROVE THE DIRECTORS' REMUNERATION POLICY	For	None	12102	0	0	0
17	TO AUTHORISE LIMITED POLITICAL DONATIONS	For	None	12102	0	0	0
18	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES	For	None	12102	0	0	0
19	TO AUTHORISE THE DIRECTORS TO DISAPPLY PRE-EMPTION RIGHTS	For	None	12102	0	0	0
20	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN SHARES	For	None	12102	0	0	0
21	TO REDUCE THE NOTICE PERIOD FOR GENERAL MEETINGS	For	None	12102	0	0	0

## Proxy Voting Record

### SANOFI SA, PARIS

Security:	F5548N101		Meeting Type:	MIX
Ticker:			Meeting Date:	10-May-2017
ISIN	FR0000120578		Vote Deadline Date:	26-Apr-2017
Agenda	707842894	Management	Total Ballot Shares:	9074
Last Vote Date:	05-May-2017			

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PLEASE NOTE IN THE FRENCH MARKET THAT THE ONLY VALID VOTE OPTIONS ARE "FOR" AND "AGAINST" A VOTE OF "ABSTAIN" WILL BE TREATED AS AN "AGAINST" VOTE.	None	None				Non Voting
2	THE FOLLOWING APPLIES TO SHAREHOLDERS THAT DO NOT HOLD SHARES DIRECTLY WITH A FRENCH CUSTODIAN: PROXY CARDS: VOTING INSTRUCTIONS WILL BE FORWARDED TO THE GLOBAL CUSTODIANS ON THE VOTE DEADLINE DATE. IN CAPACITY AS REGISTERED INTERMEDIARY, THE GLOBAL CUSTODIANS WILL SIGN THE PROXY CARDS AND FORWARD THEM TO THE LOCAL CUSTODIAN. IF YOU REQUEST MORE INFORMATION, PLEASE CONTACT YOUR CLIENT REPRESENTATIVE	None	None				Non Voting
3	IN CASE AMENDMENTS OR NEW RESOLUTIONS ARE PRESENTED DURING THE MEETING, YOUR VOTE WILL DEFAULT TO 'ABSTAIN'. SHARES CAN ALTERNATIVELY BE PASSED TO THE CHAIRMAN OR A NAMED THIRD PARTY TO VOTE ON ANY SUCH ITEM RAISED. SHOULD YOU WISH TO PASS CONTROL OF YOUR SHARES IN THIS WAY, PLEASE CONTACT YOUR BROADRIDGE CLIENT SERVICE REPRESENTATIVE. THANK YOU	None	None				Non Voting
4	17 MAR 2017: PLEASE NOTE THAT IMPORTANT ADDITIONAL MEETING INFORMATION IS AVAILABLE BY CLICKING ON THE MATERIAL URL LINK: <a href="https://balo.journal-officiel.gouv.fr/pdf/2017/0315/201703151700489.pdf">https://balo.journal-officiel.gouv.fr/pdf/2017/0315/201703151700489.pdf</a> AND : PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE TEXT OF RESOLUTION O.3 AND O.5. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU	None	None				Non Voting



## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
5	APPROVAL OF THE CORPORATE FINANCIAL STATEMENTS FOR THE 2016 FINANCIAL YEAR	For	None	8884	0	0	0
6	APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2016 FINANCIAL YEAR	For	None	8884	0	0	0
7	APPROVE ALLOCATION OF INCOME AND DIVIDENDS OF EUR 2.96 PER SHARE	For	None	8884	0	0	0
8	AGREEMENTS AND COMMITMENTS SUBJECT TO THE PROVISIONS OF ARTICLES L.225-38 AND FOLLOWING OF THE FRENCH COMMERCIAL CODE	For	None	8884	0	0	0
9	APPROVE REMUNERATION OF DIRECTORS IN THE AGGREGATE AMOUNT OF EUR 1.750 MILLION	For	None	8884	0	0	0
10	RENEWAL OF THE TERM OF FABIENNE LECORVAISIER AS DIRECTOR	For	None	8884	0	0	0
11	APPOINTMENT OF BERNARD CHARLES AS DIRECTOR	For	None	8884	0	0	0
12	APPOINTMENT OF MELANIE LEE AS DIRECTOR	For	None	8884	0	0	0
13	REMUNERATION POLICY FOR THE CHAIRMAN OF THE BOARD OF DIRECTORS	For	None	8884	0	0	0
14	REMUNERATION POLICY FOR THE GENERAL MANAGER	For	None	8884	0	0	0
15	ADVISORY REVIEW OF THE COMPENSATION OWED OR PAID TO SERGE WEINBERG, CHAIRMAN OF THE BOARD OF DIRECTORS, FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2016	For	None	8884	0	0	0
16	ADVISORY REVIEW OF THE COMPENSATION OWED OR PAID TO OLIVIER BRANDICOURT, GENERAL MANAGER, FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2016	For	None	0	8884	0	0
17	RENEWAL OF THE TERM OF PRICEWATERHOUSECOOPERS AUDIT AS STATUTORY AUDITOR	For	None	8884	0	0	0
18	AUTHORISATION TO BE GRANTED TO THE BOARD OF DIRECTORS TO TRADE IN COMPANY SHARES	For	None	8884	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
19	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS TO DECIDE TO ISSUE, WITH RETENTION OF THE PRE-EMPTIVE SUBSCRIPTION RIGHTS, SHARES AND/OR TRANSFERABLE SECURITIES GRANTING ACCESS TO THE CAPITAL OF THE COMPANY, ANY SUBSIDIARY AND/OR ANY OTHER COMPANY	For	None	8884	0	0	0
20	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS TO DECIDE TO ISSUE, WITH CANCELLATION OF THE PRE-EMPTIVE SUBSCRIPTION RIGHTS, SHARES AND/OR TRANSFERABLE SECURITIES GRANTING ACCESS TO THE CAPITAL OF THE COMPANY, ANY SUBSIDIARY AND/OR ANY OTHER COMPANY, BY MEANS OF A PUBLIC OFFER	For	None	8884	0	0	0
21	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS TO DECIDE TO ISSUE, WITH CANCELLATION OF THE PRE-EMPTIVE SUBSCRIPTION RIGHTS, SHARES AND/OR TRANSFERABLE SECURITIES GRANTING ACCESS TO THE CAPITAL OF THE COMPANY, ANY SUBSIDIARY AND/OR ANY OTHER COMPANY, BY PRIVATE PLACEMENT	For	None	8884	0	0	0
22	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS TO DECIDE TO ISSUE DEBT SECURITIES GRANTING ACCESS TO THE CAPITAL OF THE COMPANY'S SUBSIDIARIES AND/OR ANY OTHER COMPANY	For	None	8884	0	0	0
23	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS TO INCREASE THE NUMBER OF SECURITIES TO BE ISSUED IN THE EVENT OF ISSUING COMMON SHARES AND/OR TRANSFERABLE SECURITIES GRANTING ACCESS TO THE CAPITAL OF THE COMPANY, ALL SUBSIDIARIES AND/OR ANY OTHER COMPANY, WITH OR WITHOUT THE PRE-EMPTIVE SUBSCRIPTION RIGHT	For	None	8884	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
24	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS TO ISSUE, WITH CANCELLATION OF THE PRE-EMPTIVE SUBSCRIPTION RIGHTS, SHARES AND/OR TRANSFERABLE SECURITIES GRANTING ACCESS TO THE CAPITAL OF THE COMPANY, ONE OF ITS SUBSIDIARIES AND/OR ANY OTHER COMPANY, AS REMUNERATION FOR CONTRIBUTIONS-IN-KIND	For	None	8884	0	0	0
25	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS TO DECIDE UPON INCREASING SHARE CAPITAL BY INCORPORATING PREMIUMS, RESERVES, PROFITS OR OTHER ELEMENTS	For	None	8884	0	0	0
26	DELEGATION OF AUTHORITY TO BE GRANTED TO THE BOARD OF DIRECTORS TO DECIDE TO ISSUE SHARES OR TRANSFERABLE SECURITIES GRANTING ACCESS TO THE CAPITAL OF THE COMPANY RESERVED FOR MEMBERS OF THE SAVINGS SCHEMES WITH CANCELLATION OF THE PRE-EMPTIVE SUBSCRIPTION RIGHTS FOR THE BENEFIT OF SAID MEMBERS	For	None	8884	0	0	0
27	AUTHORISATION TO BE GRANTED TO THE BOARD OF DIRECTORS TO REDUCE THE SHARE CAPITAL THROUGH THE CANCELLATION OF TREASURY SHARES	For	None	8884	0	0	0
28	AMENDMENT OF ARTICLE 11 OF THE COMPANY BY-LAWS	For	None	8884	0	0	0
29	POWERS TO CARRY OUT ALL LEGAL FORMALITIES	For	None	8884	0	0	0

## Proxy Voting Record

### SAP SE, WALLDORF/BADEN

Security:	D66992104	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	10-May-2017
ISIN	DE0007164600	Vote Deadline Date:	26-Apr-2017
Agenda	707936716	Management	Total Ballot Shares: 23301
Last Vote Date:	14-Apr-2017		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS NOTIFICATIONS PURSUANT TO THE GERMAN SECURITIES TRADING ACT (WHPG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU	None	None			Non Voting	
2	PLEASE NOTE THAT THE TRUE RECORD DATE FOR THIS MEETING IS 19.04.2017, WHEREAS THE MEETING HAS BEEN SETUP USING THE ACTUAL RECORD DATE - 1 BUSINESS DAY. THIS IS DONE TO ENSURE THAT ALL POSITIONS REPORTED ARE IN CONCURRENCE WITH THE GERMAN LAW. THANK YOU	None	None			Non Voting	
3	COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 25.04.2017. FURTHER INFORMATION ON COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT ON PROXYEDGE	None	None			Non Voting	

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
4	PRESENTATION OF THE ADOPTED ANNUAL FINANCIAL STATEMENTS AND THE APPROVED GROUP ANNUAL FINANCIAL STATEMENTS, THE COMBINED MANAGEMENT REPORT AND GROUP MANAGEMENT REPORT OF SAP SE, INCLUDING THE EXECUTIVE BOARD'S EXPLANATORY NOTES RELATING TO THE INFORMATION PROVIDED PURSUANT TO SECTIONS 289 (4) AND 315 (4) OF THE GERMAN COMMERCIAL CODE (HANDELSGESETZBUCH; "HGB"), AND THE SUPERVISORY BOARD'S REPORT, EACH FOR FISCAL YEAR 2016	None	None			Non Voting	
5	RESOLUTION ON THE APPROPRIATION OF THE RETAINED EARNINGS OF FISCAL YEAR 2016: THE DISTRIBUTABLE PROFIT THE DISTRIBUTABLE PROFIT IN THE AMOUNT OF EUR 9,472,776,443.39 SHALL BE APPROPRIATED AS FOLLOWS: PAYMENT OF A DIVIDEND OF EUR 1.25 PER DIVIDEND-ENTITLED NO-PAR SHARE EUR 800,000,000 SHALL BE CARRIED TO THE OTHER RESERVES. EX-DIVIDEND DATE: MAY 11, 2017PAYABLE DATE: MAY 15, 2017	For	None	7504	0	0	0
6	RESOLUTION ON THE FORMAL APPROVAL OF THE ACTS OF THE EXECUTIVE BOARD IN FISCAL YEAR 2016	For	None	7504	0	0	0
7	RESOLUTION ON THE FORMAL APPROVAL OF THE ACTS OF THE SUPERVISORY BOARD IN FISCAL YEAR 2016	For	None	0	7504	0	0
8	APPOINTMENT OF THE AUDITORS OF THE FINANCIAL STATEMENTS AND GROUP ANNUAL FINANCIAL STATEMENTS FOR FISCAL YEAR 2017: KPMG AG WIRTSCHAFTSPRUFUNGSGESELLSCHAFT	For	None	7504	0	0	0

## Proxy Voting Record

**BASF SE**

Security:	D06216317	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	12-May-2017
ISIN	DE000BASF111	Vote Deadline Date:	28-Apr-2017
Agenda	707949369 Management	Total Ballot Shares:	5853
Last Vote Date:	18-Apr-2017		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	PLEASE NOTE THAT FOLLOWING THE AMENDMENT TO PARAGRAPH 21 OF THE SECURITIES TRADE ACT ON 9TH JULY 2015 AND THE OVER-RULING OF THE DISTRICT COURT IN COLOGNE JUDGMENT FROM 6TH JUNE 2012 THE VOTING PROCESS HAS NOW CHANGED WITH REGARD TO THE GERMAN REGISTERED SHARES. AS A RESULT, IT IS NOW THE RESPONSIBILITY OF THE END-INVESTOR (I.E. FINAL BENEFICIARY) AND NOT THE INTERMEDIARY TO DISCLOSE RESPECTIVE FINAL BENEFICIARY VOTING RIGHTS THEREFORE THE CUSTODIAN BANK / AGENT IN THE MARKET WILL BE SENDING THE VOTING DIRECTLY TO MARKET AND IT IS THE END INVESTORS RESPONSIBILITY TO ENSURE THE REGISTRATION ELEMENT IS COMPLETE WITH THE ISSUER DIRECTLY, SHOULD THEY HOLD MORE THAN 3 % OF THE TOTAL SHARE CAPITAL	None	None			Non Voting	
2	THE VOTE/REGISTRATION DEADLINE AS DISPLAYED ON PROXYEDGE IS SUBJECT TO CHANGE AND WILL BE UPDATED AS SOON AS BROADRIDGE RECEIVES CONFIRMATION FROM THE SUB CUSTODIANS REGARDING THEIR INSTRUCTION DEADLINE. FOR ANY QUERIES PLEASE CONTACT YOUR CLIENT SERVICES REPRESENTATIVE	None	None			Non Voting	
3	ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS NOTIFICATIONS PURSUANT TO THE GERMAN	None	None			Non Voting	

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
	SECURITIES TRADING ACT (WHPG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU						
4	COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 27.04.2017. FURTHER INFORMATION ON COUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER TO THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE ITEMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY AT THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT ON PROXYEDGE	None	None			Non Voting	
5	PRESENTATION OF THE ADOPTED FINANCIAL STATEMENTS OF BASF SE AND THE APPROVED CONSOLIDATED FINANCIAL STATEMENTS OF THE BASF GROUP FOR THE FINANCIAL YEAR 2016; PRESENTATION OF THE MANAGEMENT'S REPORTS OF BASF SE AND THE BASF GROUP FOR THE FINANCIAL YEAR 2016 INCLUDING THE EXPLANATORY REPORTS ON THE DATA ACCORDING TO SECTIONS 289(4) AND 315(4) OF THE GERMAN COMMERCIAL CODE; PRESENTATION OF THE REPORT OF THE SUPERVISORY BOARD	None	None			Non Voting	
6	ADOPTION OF A RESOLUTION ON THE APPROPRIATION OF PROFIT: THE DISTRIBUTABLE PROFIT THE DISTRIBUTABLE PROFIT OF EUR 2,808,567,295.65 SHALL BE APPROPRIATED AS FOLLOWS: PAYMENT OF A DIVIDEND OF EUR 3 PER NO-PAR SHARE EUR 53.131.213.65 SHALL BE ALLOCATED TO THE REVENUE RESERVES EX-DIVIDEND DATE: MAY 15, 2017 PAYABLE DATE: MAY 17, 2017	For	None	5646	0	0	0
7	ADOPTION OF A RESOLUTION GIVING FORMAL APPROVAL TO THE ACTIONS OF THE MEMBERS OF THE SUPERVISORY BOARD	For	None	5646	0	0	0

## Proxy Voting Record

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
8	ADOPTION OF A RESOLUTION GIVING FORMAL APPROVAL TO THE ACTIONS OF THE MEMBERS OF THE BOARD OF EXECUTIVE DIRECTORS	For	None	5646	0	0	0
9	APPOINTMENT OF THE AUDITOR FOR THE FINANCIAL YEAR 2017: KPMG AG	For	None	5646	0	0	0
10	AUTHORIZATION TO BUY BACK SHARES IN ACCORDANCE WITH SECTION 71(1) NO. 8 OF THE GERMAN STOCK CORPORATION ACT AND TO PUT THEM TO FURTHER USE WITH THE POSSIBILITY OF EXCLUDING SHAREHOLDERS' SUBSCRIPTION RIGHTS, INCLUDING THE AUTHORIZATION TO REDEEM BOUGHT-BACK SHARES AND REDUCE CAPITAL	For	None	5646	0	0	0
11	RESOLUTION ON THE AUTHORIZATION OF THE BOARD OF EXECUTIVE DIRECTORS TO ISSUE CONVERTIBLE BONDS AND BONDS WITH WARRANTS AND TO EXCLUDE SHAREHOLDERS' SUBSCRIPTION RIGHTS AS WELL AS ON THE CREATION OF CONDITIONAL CAPITAL 2017 AND RELATED AMENDMENT TO THE STATUTES	For	None	5646	0	0	0
12	RESOLUTION ON AMENDING ARTICLE 14 OF THE STATUTES (COMPENSATION OF THE SUPERVISORY BOARD)	For	None	5646	0	0	0



## Proxy Voting Record

### NTT DOCOMO, INC.

Security:	J59399121	Meeting Type:	Annual General Meeting
Ticker:		Meeting Date:	20-Jun-2017
ISIN	JP3165650007	Vote Deadline Date:	06-Jun-2017
Agenda	708224023	Total Ballot Shares:	28900
	Management		
Last Vote Date:	26-May-2017		

Item	Proposal	Recommendation	Default Vote	For	Against	Abstain	Take No Action
1	Please reference meeting materials.	None	None			Non Voting	
2	Approve Appropriation of Surplus	For	None	25300	0	0	0
3	Amend Articles to: Expand Business Lines	For	None	25300	0	0	0
4	Appoint a Director Nakamura, Hiroshi	For	None	25300	0	0	0
5	Appoint a Director Tamura, Hozumi	For	None	25300	0	0	0
6	Appoint a Corporate Auditor Suto, Shoji	For	None	0	25300	0	0
7	Appoint a Corporate Auditor Sagae, Hironobu	For	None	0	25300	0	0